

Form **990-PF**
 Department of the Treasury
 Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Trust Treated as Private Foundation

▶ **Do not enter social security numbers on this form as it may be made public.**
 ▶ **Information about Form 990-PF and its instructions is at www.irs.gov/form990pf.**

OMB No 1545-0052
2016
Open to Public Inspection

For calendar year 2016, or tax year beginning 07-01-2016, and ending 06-30-2017

Name of foundation THE PERNA-ROSE FOUNDATION FOR HOPE INC		A Employer identification number 35-2357099
Number and street (or P O box number if mail is not delivered to street address) 6499 CAREMA LANE	Room/suite	B Telephone number (see instructions) (914) 441-9887
City or town, state or province, country, and ZIP or foreign postal code NAPLES, FL 34113		C If exemption application is pending, check here <input type="checkbox"/>
G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col (c), line 16) ▶ \$ 3,682,894	J Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis)	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>

Part I Analysis of Revenue and Expenses <i>(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))</i>		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)	0			
	2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch. B				
	3 Interest on savings and temporary cash investments	11	11		
	4 Dividends and interest from securities	93,663	93,363		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	138,856			
	b Gross sales price for all assets on line 6a	1,053,081			
	7 Capital gain net income (from Part IV, line 2)		138,856		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less Cost of goods sold					
c Gross profit or (loss) (attach schedule)					
11 Other income (attach schedule)					
12 Total. Add lines 1 through 11	232,530	232,230			
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	0	0		0
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)	3,500	0		3,500
	c Other professional fees (attach schedule)				
	17 Interest				
	18 Taxes (attach schedule) (see instructions)	112	0		0
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule)	19,271	18,404		867
	24 Total operating and administrative expenses. Add lines 13 through 23	22,883	18,404		4,367
	25 Contributions, gifts, grants paid	205,500			205,500
26 Total expenses and disbursements. Add lines 24 and 25	228,383	18,404		209,867	
27 Subtract line 26 from line 12					
a Excess of revenue over expenses and disbursements	4,147				
b Net investment income (if negative, enter -0-)		213,826			
c Adjusted net income (if negative, enter -0-)					

Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash—non-interest-bearing			
	2 Savings and temporary cash investments	53,666	205,591	205,591
	3 Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	4 Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments—U S and state government obligations (attach schedule)			
	b Investments—corporate stock (attach schedule)	1,544,658	1,829,231	2,115,245
	c Investments—corporate bonds (attach schedule)			
	11 Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12 Investments—mortgage loans			
	13 Investments—other (attach schedule)	1,788,820	1,355,867	1,362,058
	14 Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
15 Other assets (describe ▶ _____)	4,511	3,916	0	
16 Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	3,391,655	3,394,605	3,682,894	
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶ _____)			
	23 Total liabilities (add lines 17 through 22)	0	0	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/> and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds	0	0	
	28 Paid-in or capital surplus, or land, bldg , and equipment fund	0	0	
29 Retained earnings, accumulated income, endowment, or other funds	3,391,655	3,394,605		
30 Total net assets or fund balances (see instructions)	3,391,655	3,394,605		
31 Total liabilities and net assets/fund balances (see instructions) .	3,391,655	3,394,605		

Part III Analysis of Changes in Net Assets or Fund Balances			
1 Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)		1	3,391,655
2 Enter amount from Part I, line 27a		2	4,147
3 Other increases not included in line 2 (itemize) ▶ _____		3	0
4 Add lines 1, 2, and 3		4	3,395,802
5 Decreases not included in line 2 (itemize) ▶ _____		5	1,197
6 Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 .		6	3,394,605

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a See Additional Data Table			
b			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a See Additional Data Table			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a See Additional Data Table			
b			
c			
d			
e			

2 Capital gain net income or (net capital loss)	2	138,856
{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }		
{ If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8 }	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? Yes No
 If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2015	208,668	3,409,126	0 061209
2014	203,161	3,743,558	0 054269
2013	198,220	3,583,496	0 055315
2012	150,968	3,115,185	0 048462
2011	149,589	2,670,678	0 056012
2 Total of line 1, column (d)			2 0 275267
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			3 0 055053
4 Enter the net value of noncharitable-use assets for 2016 from Part X, line 5			4 3,665,932
5 Multiply line 4 by line 3			5 201,821
6 Enter 1% of net investment income (1% of Part I, line 27b)			6 2,138
7 Add lines 5 and 6			7 203,959
8 Enter qualifying distributions from Part XII, line 4			8 209,867

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

Table with 11 rows for excise tax calculations. Includes sub-rows 6a-6d for 2016 estimated tax payments. Total amount owed is 438.

Part VII-A Statements Regarding Activities

Table with 10 rows for activity statements. Columns include question number, 'Yes', and 'No' boxes. Questions cover political activities, unrelated business income, and substantial contributors.

Part VII-A Statements Regarding Activities (continued)

Table with 3 columns: Question, Yes, No. Rows 11-14 regarding controlled entities, distributions, public inspection, and books in care.

Located at 6499 CAREMA LANE NAPLES FL ZIP+4 34113

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year.

Table with 3 columns: Question, Yes, No. Row 16 regarding interest in foreign countries.

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

Main table with 3 columns: Question, Yes, No. Rows 1a-4b regarding Form 4720 exceptions and requirements.

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (Continued)

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? Yes No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? Yes No

(3) Provide a grant to an individual for travel, study, or other similar purposes? Yes No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions). Yes No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? Yes No

b If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? Yes No

Organizations relying on a current notice regarding disaster assistance check here. Yes No

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? Yes No

If "Yes," attach the statement required by Regulations section 53.4945–5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? Yes No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? Yes No

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? Yes No

b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? Yes No

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	Title, and average hours per week (b) devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances
MELANIE ROSE 6499 CAREMA LANE NAPLES, FL 34113	PRESIDENT 10 00	0	0	0
JANET PERNA 6499 CAREMA LANE NAPLES, FL 34113	TREASURER 10 00	0	0	0
TINA WOODWARD 751 E CHARLESTON ROAD PALO ALTO, CA 94303	VICE-PRESIDENT 10 00	0	0	0
GINGER PARRISH 401 LANE DRIVE ELIZABETH CITY, NC 27909	SECRETARY 10 00	0	0	0

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	Title, and average hours per week (b) devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances
NONE				

Total number of other employees paid over \$50,000. Yes No 0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".		
(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services.		0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3	0

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities.	1a	3,609,507
b	Average of monthly cash balances.	1b	112,251
c	Fair market value of all other assets (see instructions).	1c	0
d	Total (add lines 1a, b, and c).	1d	3,721,758
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	0
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	3,721,758
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	55,826
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4.	5	3,665,932
6	Minimum investment return. Enter 5% of line 5.	6	183,297

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	183,297
2a	Tax on investment income for 2016 from Part VI, line 5.	2a	2,138
b	Income tax for 2016 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	2,138
3	Distributable amount before adjustments. Subtract line 2c from line 1.	3	181,159
4	Recoveries of amounts treated as qualifying distributions.	4	0
5	Add lines 3 and 4.	5	181,159
6	Deduction from distributable amount (see instructions).	6	0
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	7	181,159

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26.	1a	209,867
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4.	4	209,867
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions).	5	2,138
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	207,729

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2015	(c) 2015	(d) 2016
1 Distributable amount for 2016 from Part XI, line 7				181,159
2 Undistributed income, if any, as of the end of 2016				
a Enter amount for 2015 only.			0	
b Total for prior years 20___, 20___, 20___		0		
3 Excess distributions carryover, if any, to 2016				
a From 2011.	18,421			
b From 2012.				
c From 2013.	22,787			
d From 2014.	22,129			
e From 2015.	41,436			
f Total of lines 3a through e.	104,773			
4 Qualifying distributions for 2016 from Part XII, line 4 ▶ \$ <u>209,867</u>				
a Applied to 2015, but not more than line 2a			0	
b Applied to undistributed income of prior years (Election required—see instructions).		0		
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2016 distributable amount.				181,159
e Remaining amount distributed out of corpus	28,708			
5 Excess distributions carryover applied to 2016 (If an amount appears in column (d), the same amount must be shown in column (a))				0
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	133,481			
b Prior years' undistributed income Subtract line 4b from line 2b		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.		0		
d Subtract line 6c from line 6b Taxable amount—see instructions		0		
e Undistributed income for 2015 Subtract line 4a from line 2a Taxable amount—see instructions			0	
f Undistributed income for 2016 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2017				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions).	0			
8 Excess distributions carryover from 2011 not applied on line 5 or line 7 (see instructions).	18,421			
9 Excess distributions carryover to 2017. Subtract lines 7 and 8 from line 6a	115,060			
10 Analysis of line 9				
a Excess from 2012.				
b Excess from 2013.	22,787			
c Excess from 2014.	22,129			
d Excess from 2015.	41,436			
e Excess from 2016.	28,708			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling. ▶

b Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

	Tax year	Prior 3 years			(e) Total
	(a) 2016	(b) 2015	(c) 2014	(d) 2013	
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					

3 Complete 3a, b, or c for the alternative test relied upon

a "Assets" alternative test—enter

(1) Value of all assets

(2) Value of assets qualifying under section 4942(j)(3)(B)(i)

b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.

c "Support" alternative test—enter

(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)

(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).

(3) Largest amount of support from an exempt organization

(4) Gross investment income

Part XV Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))
 JANET PERNA

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or email address of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a <i>Paid during the year</i> See Additional Data Table				
Total ▶ 3a				205,500
b <i>Approved for future payment</i>				
Total ▶ 3b				0

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
26,552 679 SHSBLACKROCK STRATEGIC INC OPPTYS INVESTOR CLA	P	2009-07-01	2016-07-08
87 SHS ISHARES S&P MID CAP 400 GRWTH ETF	P	2009-12-31	2016-08-05
265 SHS ISHARES S&P SMALL CAP 600 GRWTH ETF	P	2009-12-31	2016-08-05
148 SHS ISHARES S&P MID CAP 400 GRWTH ETF	P	2012-11-09	2016-08-05
4 SHS ISHARES S&P SMALL CAP 600 GRWTH ETF	P	2009-12-31	2016-08-05
156 SHS ISHARES S&P SMALL CAP 600 GRWTH ETF	P	2012-11-09	2016-08-05
370 SHS SPDR SERIES TRUST S&P DIVIDEND ETF	P	2012-04-24	2016-08-05
274 SHS VANGUARD DIVIDEND APPRECIATION ETF	P	2009-12-31	2016-08-05
100 SHS VANGUARD DIVIDEND APPRECIATION ETF	P	2009-12-31	2016-08-05
308 SHS VANGUARD DIVIDEND APPRECIATION ETF	P	2012-01-24	2016-08-05

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
259,420		268,373	-8,953
15,363		6,849	8,514
35,684		15,397	20,287
26,135		16,283	9,852
539		232	307
21,007		12,478	8,529
31,764		20,697	11,067
23,388		12,977	10,411
8,536		4,736	3,800
26,290		17,368	8,922

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-8,953
			8,514
			20,287
			9,852
			307
			8,529
			11,067
			10,411
			3,800
			8,922

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
325 SHS VANGUARD HEALTH CARE ETF	P	2010-12-06	2016-08-05
219 SHS VANGUARD REIT INDEX ETF	P	2016-04-07	2017-01-09
759 SHS VANGUARD REIT INDEX ETF	P	2014-11-13	2017-01-09
392 SHS VANGUARD REIT INDEX ETF	P	2014-11-21	2017-01-09
430 SHS VANGUARD REIT INDEX ETF	P	2014-12-09	2017-01-09
144 SHS VANGUARD INDUSTRIALS ETF	P	2017-01-09	2017-04-06
10 SHS VANGUARD INDUSTRIALS ETF	P	2009-12-31	2017-04-06
300 SHS VANGUARD INDUSTRIALS ETF	P	2009-12-31	2017-04-06
110 SHS VANGUARD INDUSTRIALS ETF	P	2013-07-31	2017-04-06
101 SHS VANGUARD INDUSTRIALS ETF	P	2016-03-16	2017-04-06

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
44,703		18,062	26,641
18,322		18,220	102
63,499		59,025	4,474
32,795		30,512	2,283
35,975		34,398	1,577
17,699		17,265	434
1,229		522	707
36,872		15,672	21,200
13,520		9,531	3,989
12,414		10,385	2,029

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			26,641
			102
			4,474
			2,283
			1,577
			434
			707
			21,200
			3,989
			2,029

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
381 SHS VANGUARD REIT INDEX ETF	P	2016-04-07	2017-04-06
1,450 SHS VANGUARD REIT INDEX ETF	P	2016-07-12	2017-04-06
4,123 439 SHS WELLS FARGO GRWTH CL A	P	2009-07-01	2017-01-09
Capital Gains Dividends	P		

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
31,535		31,387	148
120,014		129,276	-9,262
143,083		164,580	-21,497
33,295			33,295

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			148
			-9,262
			-21,497
			33,295

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
BEADS FOR EDUCATION INC 5501 VENTNOR AVE VENTNOR, NJ 08406		TAX EXEMPT ORG	FOR SCHOLARSHIPS	8,500
BEADS FOR EDUCATION INC 5501 VENTNOR AVE vENTNOR, NJ 08406		tAX EXEMPT ORG	FOR GENERAL FUNDS	5,000
RED CROSS DISASTER RELIEF 209 FARMINGTON AVENUE FARMINGTON, CT 06032		TAX EXEMPT ORG	SPECIAL DONATION FOR LOUISIANA RELIEF	5,000
DOMESTIC VIOLENCE CRISIS CENTER 777 SUMMER STREET SUITE 400 STAMFORD, CT 06901		TAX EXEMPT ORG	UNRESTRICTED GENERAL FUNDS	5,000
FRIENDS OF CANTERA INC CO LISA FITZGERALD ESQ 1950 MASSACHUSETTS AVENUE CAMBRIDGE, MA 02140		TAX EXEMPT ORG	SPECIAL DONATION FOR CIUDAD SANDIDO	15,000
Total ▶ 3a				205,500

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
HUMANE SOCIETY OF SILICON VALLEY 901 AMES AVENUE MILPITAS, CA 95035		TAX EXEMPT ORG	PET RESCUE & READY FOR ADOPTION PROGRAMS	10,000
KIVA MICROFUNDS 875 HOWARD ST SAN FRANCISCO, CA 94103		TAX EXEMPT ORG	UNRESTRICTED GENERAL FUNDS	1,000
NAPILI KAI FOUNDATION 5900 L HONOAPIILANI RD LAHAINA, HI 96761		TAX EXEMPT ORG	FOR SCHOLARSHIP FUND	6,000
OUR COMPANIONS DOMESTIC ANIMAL SANCTUARY PO BOX 673 BLOOMFIELD, CT 06002		TAX EXEMPT ORG	FOR CAMPAIGN TO BUILD SANCTUARY & OPERATING FUNDS	20,000
SPCA OF NORTHEASTEN NORTH CAROLINA 100 WILSON STREET ELIZABETH CITY, NC 27909		TAX EXEMPT ORG	EMERGENCY FUNDING FOR OWNERS IN NEED	5,000
Total ► 3a				205,500

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
SUNY COLLEGE AT ONEONTA FOUNDATION 108 RAVINE PARKWAY ONEONTA, NY 13820		TAX EXEMPT ORG	FOR SCHOLARSHIP ENDOWMENT FUND	100,000
AMERICAN RED CROSS 431 18TH STREET NW WASHINGTON, DC 20006		TAX EXEMPT ORG	SPECIAL DONATION FOR NORTH CAROLINA RELIEF	5,000
AKOLA PROJECT 2111 COMMERCE ST DALLAS, TX 75201		TAX EXEMPT ORG	EMPOWERMENT PROGRAMS STAFF & FEB 2017 RESTRICTED ACADEMY GIFT	10,000
EVERGLADE ANGELS DOG RESCUE 2637 EAST ATLANTIC BLVD 269 POMPANO BEACH, FL 33026		TAX EXEMPT ORG	DOG RESCUES IN FL EVERGLADES	5,000
CTRI AMERICAN RED CROSS 209 FARMINGTON AVENUE FARMINGTON, CT 06032		TAX EXEMPT ORG	YEAR 8 OF 10 YEAR PLEDGE FOR TIFFANY CIRCLE QUALIFICATION	5,000
Total ▶ 3a				205,500

TY 2016 Accounting Fees Schedule**Name:** THE PERNA-ROSE FOUNDATION FOR HOPE INC**EIN:** 35-2357099

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ACCOUNTING FEES	3,500	0		3,500

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2016 Amortization Schedule

Name: THE PERNA-ROSE FOUNDATION FOR HOPE INC

EIN: 35-2357099

Description of Amortized Expenses	Date Acquired, Completed, or Expended	Amount Amortized	Deduction for Prior Years	Amortization Method	Current Year Amortization	Net Investment Income	Adjusted Net Income	Total Amount of Amortization
ORGANIZATION COSTS	2009-02-09	8,924	4,413	180 0000000000000	595	0		5,008

TY 2016 Investments Corporate Stock Schedule

Name: THE PERNA-ROSE FOUNDATION FOR HOPE INC

EIN: 35-2357099

Name of Stock	End of Year Book Value	End of Year Fair Market Value
1,624 SHS VANGUARD DIVIDEND APPREC ETF	0	0
310 SHS VANGUARD INDUSTRIALS ETF	0	0
510 SHS IBM CORP	41,698	78,453
212 SHS ISHARES S&P MIDCAP 400 GROWTH INDEX	0	0
269 SHS ISHARES S&P SMALLCAP 600 GROWTH	0	0
2,225 SHS POWERSHARES GLOBAL EXCH TRADED FUND TR EMERGING MRKTS SOVREIGN DEB	59,864	65,126
749 SHS SPDR SERIES TRUST S&P DIVIDEND ETF	0	0
350 SHS VANGUARD HEALTH CARE ETF	0	0
237 SHS ISHARES S&P MID CAP 400 GROWTH INDEX ETF	0	0
558 SHS ISHARES S&P SMALLCAP 600 GROWTH	0	0
65 SHS ISHARES S&P MIDCAP 400 GROWTH INDEX	0	0
80 SHS ISHARES S&P SMALLCAP 600 GROWTH	0	0
25 SHS SPDR SERIES TRUST S&P DIVIDEND ETF	0	0
300 SHS VANGUARD DIVIDEND APPREC ETF	0	0
110 SHS VANGUARD INDUSTRIALS ETF	0	0
100 SHS VANGUARD HEALTH CARE ETF	9,327	14,737
906 SHS ISHARES IBOXX INVESTMENT GRADE CORP BOND ETF 6/30/14-15	108,663	109,182
567 SHS SECTOR CONSUMER DISCRETIONARY SELECT SECTOR SPDR ETF 6/30/14-15	40,001	50,820
1581 SHS VANGUARD REIT INDEX ETF 6/30/14-15	0	0
626 SHS VANGUARD DIVIDEND APPREC ETF	0	0
600 SHS ISHARES IBOXX INVESTMENT GRADE CORP BOND ETF	69,966	72,306
125 SHS ISHARES S&P MIDCAP 400 GROWTH INDEX	0	0
222 SHS ISHARES S&P SMALLCAP 600 GROWTH	0	0
4,300 SHS POWERSHARES FINANCIAL PREFERRED ETF	79,980	82,130
385 SHS SPDR SERIES TRUST S&P DIVIDEND ETF	0	0
432 SHS SPDR SERIES TRUST S&P DIVIDEND ETF	0	0
136 SHS SECTOR CONSUMER DISCRETIONARY SELECT SECTOR SPDR ETF	10,637	12,190
4,864 SHS VANGUARD FTSE DEVELOPED MKTS ETF	184,090	200,980
814 SHS VANGUARD HEALTH CARE ETF	100,485	119,959
290 SHS VANGUARD INDUSTRIALS ETF	0	0

Name of Stock	End of Year Book Value	End of Year Fair Market Value
1,600 SHS VANGUARD INFORMATION TECHNOLOGY ETF	174,409	225,344
600 SHS VANGUARD REIT INDEX ETF	0	0
1,975 SHS ISHARES U S CORE AGGREGATE BOND ETF	222,267	216,282
1,050 SHS ISHARES IBOXX \$NVESTMENT GRADE CORP BOND ETF	129,954	126,536
4,100 SHS POWERSHARES FINANCIAL PREFERRED ETF	75,060	78,310
404 ISHARES S&P MIDCAP 400 GROWTH INDEX	52,184	79,434
245 SHS ISHARES S&P MIDCAP 400 GROWTH INDEX	45,035	48,172
704 SHS ISHARES S&P SMALL CAP 600 GROWTH	68,588	109,789
300 SHS ISHARES S&P SMALLCAP 600 GROWTH	44,763	46,785
1,221 SHS SPDR SERIES TRUST S&P DIVIDEND ETF	84,105	108,535
1,868 SHS VANGUARD DIVIDEND APPRECIATION ETF	124,230	173,108
720 SHS VANGUARD ENERGY ETF	74,841	63,720
25 SHS VANGUARD HEALTH CARE ETF	1,389	3,684
231 SHS VANGUARD INDUSTRIALS ETF	27,695	29,663

TY 2016 Investments - Other Schedule**Name:** THE PERNA-ROSE FOUNDATION FOR HOPE INC**EIN:** 35-2357099

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
5,164.476 SHS THORNBURG LIMITED TERM INCOME CL A	AT COST	69,543	69,359
2,639.569 SHS WELLS FARGO GRWTH FD A	AT COST	0	0
3,606.853 SHS BLACKROCK MULTI ASSET INC INVESTOR A	AT COST	40,000	39,603
1,148.985 SHS FIRST EAGLE GLOBAL A	AT COST	60,000	66,782
7,654.092 SHS J HANCOCK INCOME FD A	AT COST	51,435	49,599
26,552.679 SHS BLACKROCK STRATEGIC INC OPPORTUNITIES A	AT COST	0	0
2549.737 SHS BLACKROCK MULTI ASSET INC INVESTOR A	AT COST	28,145	27,996
25,514.076 SHS COLUMBIA INCOME BUILDER CL A	AT COST	298,175	301,831
173.264 SHS FIRST EAGLE GLOBAL A	AT COST	9,071	10,071
339.721 SHS J HANCOCK INCOME FD A	AT COST	2,244	2,201
769.989 SHS WELLS FARGO GRWTH FD A	AT COST	0	0
13050.149 SHS BLACKROCK MULTI ASSET INC INVESTOR A 6/30/14-15	AT COST	148,581	143,289
548.848 SHS COLUMBIA INCOME BUILDER CL A 6/30/14-15	AT COST	6,567	6,493
7508.096 SHS FIDELITY ADVISOR TOTAL BOND CL A 6/30/14-15	AT COST	80,561	80,038
69.178 SHS FIRST EAGLE GLOBAL A 6/30/14-15	AT COST	3,536	4,021
176.78 SHS J HANCOCK INCOME FD A 6/30/14-15	AT COST	1,172	1,146
23586.724 SHS J HANCOCK STRATEGIC INCOME OPPTYS CL A 6/30/14-15	AT COST	256,410	255,416
105.81 SHS THORNBURG LIMITED TERM INCOME CL A 6/30/14-15	AT COST	1,427	1,421
713.881 SHS WELLS FARGO GRWTH FD A 6/30/14-15	AT COST	0	0
22,545.926 SHS THORNBURG LTD TERM INCOME CL A	AT COST	299,000	302,792

TY 2016 Other Assets Schedule**Name:** THE PERNA-ROSE FOUNDATION FOR HOPE INC**EIN:** 35-2357099**Other Assets Schedule**

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
ORGANIZATION COSTS - NET	4,511	3,916	

TY 2016 Other Decreases Schedule**Name:** THE PERNA-ROSE FOUNDATION FOR HOPE INC**EIN:** 35-2357099

Description	Amount
NONTAXABLE INVESTMENT BASIS ADJUSTMENTS	1,197

TY 2016 Other Expenses Schedule**Name:** THE PERNA-ROSE FOUNDATION FOR HOPE INC**EIN:** 35-2357099**Other Expenses Schedule**

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INVESTMENT MGMT FEES	18,404	18,404		0
OFFICE EXPENSES	272	0		272
Amortization	595	0		595

TY 2016 Taxes Schedule**Name:** THE PERNA-ROSE FOUNDATION FOR HOPE INC**EIN:** 35-2357099

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FEDERAL TAXES	112	0		0