

Form **990**

**Return of Organization Exempt From Income Tax**

OMB No. 1545-0047

Department of the Treasury  
Internal Revenue Service

Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except private foundations)

Do not enter social security numbers on this form as it may be made public.

Information about Form 990 and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).

**2015**  
Open to Public Inspection

**A** For the 2015 calendar year, or tax year beginning **JUL 1, 2015** and ending **JUN 30, 2016**

<b>B</b> Check if applicable: <input type="checkbox"/> Address change <input type="checkbox"/> Name change <input type="checkbox"/> Initial return <input type="checkbox"/> Final return/terminated <input type="checkbox"/> Amended return <input type="checkbox"/> Application pending	<b>C</b> Name of organization <b>HUMANE SOCIETY SILICON VALLEY</b>		<b>D</b> Employer identification number <b>94-1196215</b>
	Doing business as		<b>E</b> Telephone number <b>408-262-2133</b>
	Number and street (or P.O. box if mail is not delivered to street address)	Room/suite	
	<b>901 AMES AVENUE</b>		<b>G</b> Gross receipts \$ <b>15,393,088.</b>
	City or town, state or province, country, and ZIP or foreign postal code <b>MILPITAS, CA 95035</b>		
<b>F</b> Name and address of principal officer: <b>CAROL NOVELLO</b> <b>SAME AS C ABOVE</b>		<b>H(a)</b> Is this a group return for subordinates? ..... <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <b>H(b)</b> Are all subordinates included? <input type="checkbox"/> Yes <input type="checkbox"/> No If "No," attach a list. (see instructions) <b>H(c)</b> Group exemption number ▶	

**I** Tax-exempt status:  501(c)(3)  501(c) ( ) ◀ (insert no.)  4947(a)(1) or  527

**J** Website: ▶ **WWW.HSSV.ORG**

**K** Form of organization:  Corporation  Trust  Association  Other ▶ **L** Year of formation: **1929** **M** State of legal domicile: **CA**

**Part I Summary**

<b>Activities &amp; Governance</b>	<b>1</b> Briefly describe the organization's mission or most significant activities: <b>THE MISSION OF THE ORGANIZATION IS TO SAVE AND ENHANCE LIVES.</b>		
	<b>2</b> Check this box <input type="checkbox"/> if the organization discontinued its operations or disposed of more than 25% of its net assets.		
	<b>3</b> Number of voting members of the governing body (Part VI, line 1a)	<b>3</b>	<b>17</b>
	<b>4</b> Number of independent voting members of the governing body (Part VI, line 1b)	<b>4</b>	<b>17</b>
	<b>5</b> Total number of individuals employed in calendar year 2015 (Part V, line 2a)	<b>5</b>	<b>128</b>
	<b>6</b> Total number of volunteers (estimate if necessary)	<b>6</b>	<b>1357</b>
	<b>7 a</b> Total unrelated business revenue from Part VIII, column (C), line 12	<b>7a</b>	<b>0.</b>
<b>b</b> Net unrelated business taxable income from Form 990-T, line 34	<b>7b</b>	<b>0.</b>	
<b>Revenue</b>	<b>8</b> Contributions and grants (Part VIII, line 1h)	<b>Prior Year</b>	<b>Current Year</b>
	<b>9</b> Program service revenue (Part VIII, line 2g)	<b>10,660,153.</b>	<b>9,530,904.</b>
	<b>10</b> Investment income (Part VIII, column (A), lines 3, 4, and 7d)	<b>1,895,493.</b>	<b>1,977,400.</b>
	<b>11</b> Other revenue (Part VIII, column (A), lines 5, 6d, 8c, 9c, 10c, and 11e)	<b>679,886.</b>	<b>246,427.</b>
	<b>12</b> Total revenue - add lines 8 through 11 (must equal Part VIII, column (A), line 12)	<b>159,503.</b>	<b>177,451.</b>
<b>Expenses</b>	<b>13</b> Grants and similar amounts paid (Part IX, column (A), lines 1-3)	<b>13,395,035.</b>	<b>11,932,182.</b>
	<b>14</b> Benefits paid to or for members (Part IX, column (A), line 4)	<b>0.</b>	<b>0.</b>
	<b>15</b> Salaries, other compensation, employee benefits (Part IX, column (A), lines 5-10)	<b>0.</b>	<b>0.</b>
	<b>16a</b> Professional fundraising fees (Part IX, column (A), line 11e)	<b>6,461,977.</b>	<b>6,674,285.</b>
	<b>b</b> Total fundraising expenses (Part IX, column (D), line 25) ▶ <b>1,546,205.</b>	<b>144,863.</b>	<b>149,008.</b>
	<b>17</b> Other expenses (Part IX, column (A), lines 11a-11d, 11f-24e)	<b>3,434,650.</b>	<b>3,857,793.</b>
	<b>18</b> Total expenses. Add lines 13-17 (must equal Part IX, column (A), line 25)	<b>10,041,490.</b>	<b>10,681,086.</b>
<b>19</b> Revenue less expenses. Subtract line 18 from line 12	<b>3,353,545.</b>	<b>1,251,096.</b>	
<b>Net Assets or Fund Balances</b>	<b>20</b> Total assets (Part X, line 16)	<b>Beginning of Current Year</b>	<b>End of Year</b>
	<b>21</b> Total liabilities (Part X, line 26)	<b>47,102,643.</b>	<b>47,240,278.</b>
	<b>22</b> Net assets or fund balances. Subtract line 21 from line 20	<b>13,559,765.</b>	<b>12,821,268.</b>
		<b>33,542,878.</b>	<b>34,419,010.</b>

**Part II Signature Block**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge.

<b>Sign Here</b>	Signature of officer	Date			
	<b>CAROL NOVELLO, PRESIDENT</b> Type or print name and title				
<b>Paid Preparer Use Only</b>	Print/Type preparer's name <b>LAWRENCE S. KUECHLER</b>	Preparer's signature <b>LAWRENCE S. KUECHLER</b>	Date <b>02/13/17</b>	Check if self-employed <input type="checkbox"/>	PTIN <b>P00233621</b>
	Firm's name ▶ <b>ARMANINO LLP</b>	Firm's EIN ▶ <b>94-6214841</b>	Phone no. <b>408-200-6400</b>		
Firm's address ▶ <b>50 W. SAN FERNANDO ST, STE 500</b>		<b>SAN JOSE, CA 95113</b>			

May the IRS discuss this return with the preparer shown above? (see instructions)  Yes  No

Part III Statement of Program Service Accomplishments

Check if Schedule O contains a response or note to any line in this Part III [X]

1 Briefly describe the organization's mission: THE MISSION OF THE ORGANIZATION IS TO SAVE AND ENHANCE LIVES.

(SEE SCHEDULE O FOR CONTINUATION)

2 Did the organization undertake any significant program services during the year which were not listed on the prior Form 990 or 990-EZ? [X] Yes [ ] No

3 Did the organization cease conducting, or make significant changes in how it conducts, any program services? [ ] Yes [X] No

4 Describe the organization's program service accomplishments for each of its three largest program services, as measured by expenses.

4a (Code: ) (Expenses \$ 7,008,423. including grants of \$ ) (Revenue \$ 1,591,921. ) 1) PROGRAMS TO SAVE LIVES AND PLACE HOMELESS ANIMALS:

- ADOPTION - FACILITATES ADOPTIONS BY MATCHING PROSPECTIVE PET OWNERS WITH THE RIGHT PET FOR THEIR FAMILY, SUPPLIES INFORMATION ON PET CARE TO ENSURE A SAFE AND SUCCESSFUL ADOPTION PLACEMENT, AND PROVIDES FOLLOW UP SUPPORT TO ADOPTERS FOR THE LIFE OF THEIR PET. SEVERAL YEARS AGO, THE ORGANIZATION OPENED A NEIGHBORHOOD ADOPTION CENTER AT A PETCO STORE IN THE PASEO DE SARATOGA SHOPPING CENTER AND DURING THE YEAR ENDED JUNE 30, 2011, THE ORGANIZATION OPENED A SECOND NEIGHBORHOOD ADOPTION CENTER IN A PETCO STORE IN A SUNNYVALE SHOPPING CENTER, TO ADOPT OUT MORE CATS, DOGS, AND RABBITS. (SEE SCHEDULE O FOR CONTINUATION)

4b (Code: ) (Expenses \$ 1,194,933. including grants of \$ ) (Revenue \$ 558,342. ) 2) COMMUNITY PROGRAMS FOR PEOPLE & ANIMALS:

- VOLUNTEER PROGRAMS - ENGAGES COMMUNITY MEMBERS IN A PARTNERSHIP TO SAVE AND ENHANCE THE LIVES OF ANIMALS IN OUR AREA. THIS PARTNERSHIP IS ACHIEVED BY INCLUDING VOLUNTEERS IN EVERY ASPECT OF THE ORGANIZATION THROUGH A VARIETY OF VOLUNTEER POSITIONS, INCLUDING BUT NOT LIMITED TO: ANIMAL SOCIALIZERS; ADOPTION HOSTS AND COUNSELORS; CUSTOMER SERVICE ASSISTANTS; MEDICAL CENTER ASSISTANTS; SHELTER HOSPITAL ASSISTANTS; PET STORE CLERKS; FOSTER PARENTS; SPECIAL EVENTS COORDINATORS; DEVELOPMENT OFFICE ASSISTANTS; VOLUNTEER DEPARTMENT ASSISTANTS; ANIMAL TRANSPORTERS; COMMUNITY EVENT VOLUNTEERS; AND EDUCATION AMBASSADORS. (SEE SCHEDULE O FOR CONTINUATION)

4c (Code: ) (Expenses \$ including grants of \$ ) (Revenue \$ )

4d Other program services (Describe in Schedule O.) (Expenses \$ including grants of \$ ) (Revenue \$ )

4e Total program service expenses 8,203,356.

**Part IV Checklist of Required Schedules**

	Yes	No
<b>1</b> Is the organization described in section 501(c)(3) or 4947(a)(1) (other than a private foundation)? <i>If "Yes," complete Schedule A</i>	X	
<b>2</b> Is the organization required to complete <i>Schedule B, Schedule of Contributors</i> ?	X	
<b>3</b> Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to candidates for public office? <i>If "Yes," complete Schedule C, Part I</i>		X
<b>4 Section 501(c)(3) organizations.</b> Did the organization engage in lobbying activities, or have a section 501(h) election in effect during the tax year? <i>If "Yes," complete Schedule C, Part II</i>	X	
<b>5</b> Is the organization a section 501(c)(4), 501(c)(5), or 501(c)(6) organization that receives membership dues, assessments, or similar amounts as defined in Revenue Procedure 98-19? <i>If "Yes," complete Schedule C, Part III</i>		X
<b>6</b> Did the organization maintain any donor advised funds or any similar funds or accounts for which donors have the right to provide advice on the distribution or investment of amounts in such funds or accounts? <i>If "Yes," complete Schedule D, Part I</i>		X
<b>7</b> Did the organization receive or hold a conservation easement, including easements to preserve open space, the environment, historic land areas, or historic structures? <i>If "Yes," complete Schedule D, Part II</i>		X
<b>8</b> Did the organization maintain collections of works of art, historical treasures, or other similar assets? <i>If "Yes," complete Schedule D, Part III</i>		X
<b>9</b> Did the organization report an amount in Part X, line 21, for escrow or custodial account liability, serve as a custodian for amounts not listed in Part X; or provide credit counseling, debt management, credit repair, or debt negotiation services? <i>If "Yes," complete Schedule D, Part IV</i>		X
<b>10</b> Did the organization, directly or through a related organization, hold assets in temporarily restricted endowments, permanent endowments, or quasi-endowments? <i>If "Yes," complete Schedule D, Part V</i>	X	
<b>11</b> If the organization's answer to any of the following questions is "Yes," then complete Schedule D, Parts VI, VII, VIII, IX, or X as applicable.		
<b>a</b> Did the organization report an amount for land, buildings, and equipment in Part X, line 10? <i>If "Yes," complete Schedule D, Part VI</i>	X	
<b>b</b> Did the organization report an amount for investments - other securities in Part X, line 12 that is 5% or more of its total assets reported in Part X, line 16? <i>If "Yes," complete Schedule D, Part VII</i>	X	
<b>c</b> Did the organization report an amount for investments - program related in Part X, line 13 that is 5% or more of its total assets reported in Part X, line 16? <i>If "Yes," complete Schedule D, Part VIII</i>		X
<b>d</b> Did the organization report an amount for other assets in Part X, line 15 that is 5% or more of its total assets reported in Part X, line 16? <i>If "Yes," complete Schedule D, Part IX</i>		X
<b>e</b> Did the organization report an amount for other liabilities in Part X, line 25? <i>If "Yes," complete Schedule D, Part X</i>		X
<b>f</b> Did the organization's separate or consolidated financial statements for the tax year include a footnote that addresses the organization's liability for uncertain tax positions under FIN 48 (ASC 740)? <i>If "Yes," complete Schedule D, Part X</i>	X	
<b>12a</b> Did the organization obtain separate, independent audited financial statements for the tax year? <i>If "Yes," complete Schedule D, Parts XI and XII</i>	X	
<b>b</b> Was the organization included in consolidated, independent audited financial statements for the tax year? <i>If "Yes," and if the organization answered "No" to line 12a, then completing Schedule D, Parts XI and XII is optional</i>		X
<b>13</b> Is the organization a school described in section 170(b)(1)(A)(ii)? <i>If "Yes," complete Schedule E</i>		X
<b>14a</b> Did the organization maintain an office, employees, or agents outside of the United States?		X
<b>b</b> Did the organization have aggregate revenues or expenses of more than \$10,000 from grantmaking, fundraising, business, investment, and program service activities outside the United States, or aggregate foreign investments valued at \$100,000 or more? <i>If "Yes," complete Schedule F, Parts I and IV</i>		X
<b>15</b> Did the organization report on Part IX, column (A), line 3, more than \$5,000 of grants or other assistance to or for any foreign organization? <i>If "Yes," complete Schedule F, Parts II and IV</i>		X
<b>16</b> Did the organization report on Part IX, column (A), line 3, more than \$5,000 of aggregate grants or other assistance to or for foreign individuals? <i>If "Yes," complete Schedule F, Parts III and IV</i>		X
<b>17</b> Did the organization report a total of more than \$15,000 of expenses for professional fundraising services on Part IX, column (A), lines 6 and 11e? <i>If "Yes," complete Schedule G, Part I</i>	X	
<b>18</b> Did the organization report more than \$15,000 total of fundraising event gross income and contributions on Part VIII, lines 1c and 8a? <i>If "Yes," complete Schedule G, Part II</i>	X	
<b>19</b> Did the organization report more than \$15,000 of gross income from gaming activities on Part VIII, line 9a? <i>If "Yes," complete Schedule G, Part III</i>		X

**Part IV Checklist of Required Schedules** (continued)

	Yes	No
<b>20a</b> Did the organization operate one or more hospital facilities? <i>If "Yes," complete Schedule H</i> .....		X
<b>b</b> If "Yes" to line 20a, did the organization attach a copy of its audited financial statements to this return? .....		
<b>21</b> Did the organization report more than \$5,000 of grants or other assistance to any domestic organization or domestic government on Part IX, column (A), line 1? <i>If "Yes," complete Schedule I, Parts I and II</i> .....		X
<b>22</b> Did the organization report more than \$5,000 of grants or other assistance to or for domestic individuals on Part IX, column (A), line 2? <i>If "Yes," complete Schedule I, Parts I and III</i> .....		X
<b>23</b> Did the organization answer "Yes" to Part VII, Section A, line 3, 4, or 5 about compensation of the organization's current and former officers, directors, trustees, key employees, and highest compensated employees? <i>If "Yes," complete Schedule J</i> .....	X	
<b>24a</b> Did the organization have a tax-exempt bond issue with an outstanding principal amount of more than \$100,000 as of the last day of the year, that was issued after December 31, 2002? <i>If "Yes," answer lines 24b through 24d and complete Schedule K. If "No," go to line 25a</i> .....	X	
<b>b</b> Did the organization invest any proceeds of tax-exempt bonds beyond a temporary period exception? .....		X
<b>c</b> Did the organization maintain an escrow account other than a refunding escrow at any time during the year to defease any tax-exempt bonds? .....		X
<b>d</b> Did the organization act as an "on behalf of" issuer for bonds outstanding at any time during the year? .....		X
<b>25a Section 501(c)(3), 501(c)(4), and 501(c)(29) organizations.</b> Did the organization engage in an excess benefit transaction with a disqualified person during the year? <i>If "Yes," complete Schedule L, Part I</i> .....		X
<b>b</b> Is the organization aware that it engaged in an excess benefit transaction with a disqualified person in a prior year, and that the transaction has not been reported on any of the organization's prior Forms 990 or 990-EZ? <i>If "Yes," complete Schedule L, Part I</i> .....		X
<b>26</b> Did the organization report any amount on Part X, line 5, 6, or 22 for receivables from or payables to any current or former officers, directors, trustees, key employees, highest compensated employees, or disqualified persons? <i>If "Yes," complete Schedule L, Part II</i> .....		X
<b>27</b> Did the organization provide a grant or other assistance to an officer, director, trustee, key employee, substantial contributor or employee thereof, a grant selection committee member, or to a 35% controlled entity or family member of any of these persons? <i>If "Yes," complete Schedule L, Part III</i> .....		X
<b>28</b> Was the organization a party to a business transaction with one of the following parties (see Schedule L, Part IV instructions for applicable filing thresholds, conditions, and exceptions):		
<b>a</b> A current or former officer, director, trustee, or key employee? <i>If "Yes," complete Schedule L, Part IV</i> .....		X
<b>b</b> A family member of a current or former officer, director, trustee, or key employee? <i>If "Yes," complete Schedule L, Part IV</i> .....		X
<b>c</b> An entity of which a current or former officer, director, trustee, or key employee (or a family member thereof) was an officer, director, trustee, or direct or indirect owner? <i>If "Yes," complete Schedule L, Part IV</i> .....		X
<b>29</b> Did the organization receive more than \$25,000 in non-cash contributions? <i>If "Yes," complete Schedule M</i> .....	X	
<b>30</b> Did the organization receive contributions of art, historical treasures, or other similar assets, or qualified conservation contributions? <i>If "Yes," complete Schedule M</i> .....		X
<b>31</b> Did the organization liquidate, terminate, or dissolve and cease operations? <i>If "Yes," complete Schedule N, Part I</i> .....		X
<b>32</b> Did the organization sell, exchange, dispose of, or transfer more than 25% of its net assets? <i>If "Yes," complete Schedule N, Part II</i> .....		X
<b>33</b> Did the organization own 100% of an entity disregarded as separate from the organization under Regulations sections 301.7701-2 and 301.7701-3? <i>If "Yes," complete Schedule R, Part I</i> .....		X
<b>34</b> Was the organization related to any tax-exempt or taxable entity? <i>If "Yes," complete Schedule R, Part II, III, or IV, and Part V, line 1</i> .....		X
<b>35a</b> Did the organization have a controlled entity within the meaning of section 512(b)(13)? .....		X
<b>b</b> If "Yes" to line 35a, did the organization receive any payment from or engage in any transaction with a controlled entity within the meaning of section 512(b)(13)? <i>If "Yes," complete Schedule R, Part V, line 2</i> .....		
<b>36 Section 501(c)(3) organizations.</b> Did the organization make any transfers to an exempt non-charitable related organization? <i>If "Yes," complete Schedule R, Part V, line 2</i> .....		X
<b>37</b> Did the organization conduct more than 5% of its activities through an entity that is not a related organization and that is treated as a partnership for federal income tax purposes? <i>If "Yes," complete Schedule R, Part VI</i> .....		X
<b>38</b> Did the organization complete Schedule O and provide explanations in Schedule O for Part VI, lines 11b and 19? <b>Note.</b> All Form 990 filers are required to complete Schedule O .....	X	

Part V Statements Regarding Other IRS Filings and Tax Compliance

Check if Schedule O contains a response or note to any line in this Part V

Table with columns for question number, description, sub-column (1a-14b), Yes, and No. Contains questions 1a through 14b regarding IRS filings and tax compliance.

**Part VI Governance, Management, and Disclosure** For each "Yes" response to lines 2 through 7b below, and for a "No" response to line 8a, 8b, or 10b below, describe the circumstances, processes, or changes in Schedule O. See instructions.

Check if Schedule O contains a response or note to any line in this Part VI

**Section A. Governing Body and Management**

		Yes	No
<b>1a</b>	Enter the number of voting members of the governing body at the end of the tax year If there are material differences in voting rights among members of the governing body, or if the governing body delegated broad authority to an executive committee or similar committee, explain in Schedule O.		
	1a	17	
<b>b</b>	Enter the number of voting members included in line 1a, above, who are independent		
	1b	17	
<b>2</b>	Did any officer, director, trustee, or key employee have a family relationship or a business relationship with any other officer, director, trustee, or key employee?		X
<b>3</b>	Did the organization delegate control over management duties customarily performed by or under the direct supervision of officers, directors, or trustees, or key employees to a management company or other person?		X
<b>4</b>	Did the organization make any significant changes to its governing documents since the prior Form 990 was filed?		X
<b>5</b>	Did the organization become aware during the year of a significant diversion of the organization's assets?		X
<b>6</b>	Did the organization have members or stockholders?		X
<b>7a</b>	Did the organization have members, stockholders, or other persons who had the power to elect or appoint one or more members of the governing body?		X
<b>b</b>	Are any governance decisions of the organization reserved to (or subject to approval by) members, stockholders, or persons other than the governing body?		X
<b>8</b>	Did the organization contemporaneously document the meetings held or written actions undertaken during the year by the following:		
<b>a</b>	The governing body?	X	
<b>b</b>	Each committee with authority to act on behalf of the governing body?	X	
<b>9</b>	Is there any officer, director, trustee, or key employee listed in Part VII, Section A, who cannot be reached at the organization's mailing address? If "Yes," provide the names and addresses in Schedule O		X

**Section B. Policies** (This Section B requests information about policies not required by the Internal Revenue Code.)

		Yes	No
<b>10a</b>	Did the organization have local chapters, branches, or affiliates?		X
<b>b</b>	If "Yes," did the organization have written policies and procedures governing the activities of such chapters, affiliates, and branches to ensure their operations are consistent with the organization's exempt purposes?		
<b>10b</b>			
<b>11a</b>	Has the organization provided a complete copy of this Form 990 to all members of its governing body before filing the form?	X	
<b>b</b>	Describe in Schedule O the process, if any, used by the organization to review this Form 990.		
<b>12a</b>	Did the organization have a written conflict of interest policy? If "No," go to line 13	X	
<b>b</b>	Were officers, directors, or trustees, and key employees required to disclose annually interests that could give rise to conflicts?	X	
<b>c</b>	Did the organization regularly and consistently monitor and enforce compliance with the policy? If "Yes," describe in Schedule O how this was done	X	
<b>12c</b>			
<b>13</b>	Did the organization have a written whistleblower policy?	X	
<b>14</b>	Did the organization have a written document retention and destruction policy?	X	
<b>15</b>	Did the process for determining compensation of the following persons include a review and approval by independent persons, comparability data, and contemporaneous substantiation of the deliberation and decision?		
<b>a</b>	The organization's CEO, Executive Director, or top management official	X	
<b>b</b>	Other officers or key employees of the organization	X	
	If "Yes" to line 15a or 15b, describe the process in Schedule O (see instructions).		
<b>16a</b>	Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrangement with a taxable entity during the year?		X
<b>b</b>	If "Yes," did the organization follow a written policy or procedure requiring the organization to evaluate its participation in joint venture arrangements under applicable federal tax law, and take steps to safeguard the organization's exempt status with respect to such arrangements?		
<b>16b</b>			

**Section C. Disclosure**

- 17** List the states with which a copy of this Form 990 is required to be filed **CA**
- 18** Section 6104 requires an organization to make its Forms 1023 (or 1024 if applicable), 990, and 990-T (Section 501(c)(3)s only) available for public inspection. Indicate how you made these available. Check all that apply.  
 Own website     Another's website     Upon request     Other (explain in Schedule O)
- 19** Describe in Schedule O whether (and if so, how) the organization made its governing documents, conflict of interest policy, and financial statements available to the public during the tax year.
- 20** State the name, address, and telephone number of the person who possesses the organization's books and records: **LAUREN GALLAGHER - (408) 262-2133**  
**901 AMES AVENUE, MILPITAS, CA 95035**

**Part VII Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors**

Check if Schedule O contains a response or note to any line in this Part VII

**Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees**

**1a** Complete this table for all persons required to be listed. Report compensation for the calendar year ending with or within the organization's tax year.

- List all of the organization's **current** officers, directors, trustees (whether individuals or organizations), regardless of amount of compensation. Enter -0- in columns (D), (E), and (F) if no compensation was paid.
- List all of the organization's **current** key employees, if any. See instructions for definition of "key employee."
- List the organization's five **current** highest compensated employees (other than an officer, director, trustee, or key employee) who received reportable compensation (Box 5 of Form W-2 and/or Box 7 of Form 1099-MISC) of more than \$100,000 from the organization and any related organizations.
- List all of the organization's **former** officers, key employees, and highest compensated employees who received more than \$100,000 of reportable compensation from the organization and any related organizations.
- List all of the organization's **former directors or trustees** that received, in the capacity as a former director or trustee of the organization, more than \$10,000 of reportable compensation from the organization and any related organizations.

List persons in the following order: individual trustees or directors; institutional trustees; officers; key employees; highest compensated employees; and former such persons.

Check this box if neither the organization nor any related organization compensated any current officer, director, or trustee.

(A) Name and Title	(B) Average hours per week (list any hours for related organizations below line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated employee	Former			
(1) SUE DIEKMAN BOARD CHAIR	6.00	X		X				0.	0.	0.
(2) TERRY LEE TREASURER	4.00	X		X				0.	0.	0.
(3) LINDA NETSCH - TO SEP 2015 TREASURER	4.00	X		X				0.	0.	0.
(4) W. STEPHEN SULLINS SECRETARY	4.00	X		X				0.	0.	0.
(5) GAYLE HAWORTH BOARD MEMBER	3.00	X						0.	0.	0.
(6) SUE LEVY BOARD MEMBER	3.00	X						0.	0.	0.
(7) KARA BERG BOARD MEMBER	3.00	X						0.	0.	0.
(8) KATHLEEN MULLIGAN BOARD MEMBER	3.00	X						0.	0.	0.
(9) MARILYN ANDERSON - TO MAY 2016 BOARD MEMBER	3.00	X						0.	0.	0.
(10) ERIN TOENISKOETTER BOARD MEMBER	3.00	X						0.	0.	0.
(11) SUMITA DUTTA BOARD MEMBER	3.00	X						0.	0.	0.
(12) LARS RABBE BOARD MEMBER	3.00	X						0.	0.	0.
(13) SALLY BOURGOIN VICE CHAIR	3.00	X		X				0.	0.	0.
(14) ALAN BEREZIN BOARD MEMBER	3.00	X						0.	0.	0.
(15) DEBBIE VANDERZWAAG BOARD MEMBER	3.00	X						0.	0.	0.
(16) CLINT SEVERSON BOARD MEMBER	3.00	X						0.	0.	0.
(17) TIMI SOBRATO BOARD MEMBER	3.00	X						0.	0.	0.

**Part VII** Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees (continued)

(A) Name and title	(B) Average hours per week (list any hours for related organizations below line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated employee	Former			
(18) PETER DETKIN BOARD MEMBER	3.00	X					0.	0.	0.	
(19) ALISON BUCHANAN BOARD MEMBER	3.00	X					0.	0.	0.	
(20) CAROL NOVELLO PRESIDENT	40.00			X			223,843.	0.	11,548.	
(21) CANDICE BALMACEDA VP OF FINANCE	40.00			X			147,648.	0.	10,442.	
(22) CRISTIE KAMIYA CHIEF OF SHELTER MEDICINE	40.00				X		170,927.	0.	10,705.	
(23) SARA E WARD - TO OCT 2015 VP COMMUNITY ALLIANCES	40.00					X	112,331.	0.	7,929.	
(24) STEPHANIE LADEIRA VICE PRESIDENT DEVELOPMENT	40.00					X	135,149.	0.	9,947.	
(25) ANDREA BERGER STAFF VETERINARIAN	40.00					X	117,500.	0.	9,664.	
(26) JEANNE WU VICE PRESIDENT, HR & VOLUNTEER PROGR	40.00					X	112,484.	0.	2,824.	
<b>1b Sub-total</b>							1,019,882.	0.	63,059.	
<b>c Total from continuation sheets to Part VII, Section A</b>							138,066.	0.	2,656.	
<b>d Total (add lines 1b and 1c)</b>							1,157,948.	0.	65,715.	

2 Total number of individuals (including but not limited to those listed above) who received more than \$100,000 of reportable compensation from the organization **8**

	Yes	No
3 Did the organization list any <b>former</b> officer, director, or trustee, key employee, or highest compensated employee on line 1a? <i>If "Yes," complete Schedule J for such individual</i>		X
4 For any individual listed on line 1a, is the sum of reportable compensation and other compensation from the organization and related organizations greater than \$150,000? <i>If "Yes," complete Schedule J for such individual</i>	X	
5 Did any person listed on line 1a receive or accrue compensation from any unrelated organization or individual for services rendered to the organization? <i>If "Yes," complete Schedule J for such person</i>		X

**Section B. Independent Contractors**

1 Complete this table for your five highest compensated independent contractors that received more than \$100,000 of compensation from the organization. Report compensation for the calendar year ending with or within the organization's tax year.

(A) Name and business address	(B) Description of services	(C) Compensation
GRIZZARD COMMUNICATIONS GROUP, INC, 229 PEACHTREE ST., NE, STE 1400, ATLANTA, GA	DIRECT MAIL, CALENDAR AND NEWSLET	425,506.
BLACKBAUD 2435 GOODWIN LANE, NEW BRAUNFELS, TX 78135	DATABASE SOFTWARE AND WEBSITE MAINTENA	120,477.

2 Total number of independent contractors (including but not limited to those listed above) who received more than \$100,000 of compensation from the organization **2**

SEE PART VII, SECTION A CONTINUATION SHEETS



**Part VII** Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees (continued)

(A) Name and title	(B) Average hours per week (list any hours for related organizations below line)	(C) Position (check all that apply)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated employee	Former			
(27) ANDREA MOORE STAFF VETERINARIAN	40.00				X			138,066.	0.	2,656.
Total to Part VII, Section A, line 1c .....								138,066.	2,656.	

**Part VIII Statement of Revenue**

Check if Schedule O contains a response or note to any line in this Part VIII

				(A)	(B)	(C)	(D)	
				Total revenue	Related or exempt function revenue	Unrelated business revenue	Revenue excluded from tax under sections 512 - 514	
<b>Contributions, Gifts, Grants and Other Similar Amounts</b>	<b>1 a</b> Federated campaigns .....	<b>1a</b>						
	<b>b</b> Membership dues .....	<b>1b</b>						
	<b>c</b> Fundraising events .....	<b>1c</b>	1,181,281.					
	<b>d</b> Related organizations .....	<b>1d</b>						
	<b>e</b> Government grants (contributions) .....	<b>1e</b>	12,750.					
	<b>f</b> All other contributions, gifts, grants, and similar amounts not included above .....	<b>1f</b>	8,336,873.					
	<b>g</b> Noncash contributions included in lines 1a-1f: \$ .....		421,690.					
	<b>h Total.</b> Add lines 1a-1f .....			9,530,904.				
<b>Program Service Revenue</b>	<b>2 a</b> SAVE LIVES & PLACEMENT .....	<b>Business Code</b>	900099	1,195,438.	1,195,438.			
	<b>b</b> COMMUNITY PROGRAMS .....		900099	414,241.	414,241.			
	<b>c</b> CONTRACTS WITH GOVERNMENT AGENCIE .....		900099	367,721.	367,721.			
	<b>d</b> .....							
	<b>e</b> .....							
	<b>f</b> All other program service revenue .....							
	<b>g Total.</b> Add lines 2a-2f .....			1,977,400.				
<b>Other Revenue</b>	<b>3</b> Investment income (including dividends, interest, and other similar amounts) .....			533,958.			533,958.	
	<b>4</b> Income from investment of tax-exempt bond proceeds .....							
	<b>5</b> Royalties .....							
	<b>6 a</b> Gross rents .....	(i) Real	(ii) Personal					
		<b>b</b> Less: rental expenses .....						
		<b>c</b> Rental income or (loss) .....						
		<b>d</b> Net rental income or (loss) .....						
	<b>7 a</b> Gross amount from sales of assets other than inventory .....	(i) Securities	(ii) Other					
		2,322,106.	11,110.					
		<b>b</b> Less: cost or other basis and sales expenses .....			2,620,747.	0.		
		<b>c</b> Gain or (loss) .....			-298,641.	11,110.		
	<b>d</b> Net gain or (loss) .....				-287,531.		-287,531.	
	<b>8 a</b> Gross income from fundraising events (not including \$ 1,181,281. of contributions reported on line 1c). See Part IV, line 18 .....	<b>a</b>		579,272.				
		<b>b</b> Less: direct expenses .....	<b>b</b>	579,272.				
		<b>c</b> Net income or (loss) from fundraising events .....			0.			
<b>9 a</b> Gross income from gaming activities. See Part IV, line 19 .....	<b>a</b>		4,588.					
	<b>b</b> Less: direct expenses .....	<b>b</b>	0.					
	<b>c</b> Net income or (loss) from gaming activities .....			4,588.			4,588.	
<b>10 a</b> Gross sales of inventory, less returns and allowances .....	<b>a</b>		433,750.					
	<b>b</b> Less: cost of goods sold .....	<b>b</b>	260,887.					
	<b>c</b> Net income or (loss) from sales of inventory .....			172,863.	172,863.			
<b>Miscellaneous Revenue</b>			<b>Business Code</b>					
<b>11 a</b> .....								
	<b>b</b> .....							
	<b>c</b> .....							
	<b>d</b> All other revenue .....							
<b>e Total.</b> Add lines 11a-11d .....								
<b>12 Total revenue.</b> See instructions. ....				11,932,182.	2,150,263.	0.	251,015.	

**Part IX Statement of Functional Expenses**

Section 501(c)(3) and 501(c)(4) organizations must complete all columns. All other organizations must complete column (A).

Check if Schedule O contains a response or note to any line in this Part IX

Do not include amounts reported on lines 6b, 7b, 8b, 9b, and 10b of Part VIII.	(A) Total expenses	(B) Program service expenses	(C) Management and general expenses	(D) Fundraising expenses
1 Grants and other assistance to domestic organizations and domestic governments. See Part IV, line 21				
2 Grants and other assistance to domestic individuals. See Part IV, line 22				
3 Grants and other assistance to foreign organizations, foreign governments, and foreign individuals. See Part IV, lines 15 and 16				
4 Benefits paid to or for members				
5 Compensation of current officers, directors, trustees, and key employees	615,734.	310,015.	202,848.	102,871.
6 Compensation not included above, to disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B)				
7 Other salaries and wages	4,922,984.	3,833,303.	400,107.	689,574.
8 Pension plan accruals and contributions (include section 401(k) and 403(b) employer contributions)	75,237.	63,550.	3,985.	7,702.
9 Other employee benefits	609,575.	496,708.	46,427.	66,440.
10 Payroll taxes	450,755.	344,390.	44,564.	61,801.
11 Fees for services (non-employees):				
a Management				
b Legal	10,459.		10,459.	
c Accounting	36,400.		36,400.	
d Lobbying				
e Professional fundraising services. See Part IV, line 17	149,008.			149,008.
f Investment management fees	75,576.	54,873.	18,787.	1,916.
g Other. (If line 11g amount exceeds 10% of line 25, column (A) amount, list line 11g expenses on Sch O.)	705,271.	613,874.	26,794.	64,603.
12 Advertising and promotion	283,147.	67,617.	11,124.	204,406.
13 Office expenses	305,617.	157,016.	28,191.	120,410.
14 Information technology	194,061.	154,393.	21,474.	18,194.
15 Royalties				
16 Occupancy	383,086.	352,050.	18,933.	12,103.
17 Travel	19,306.	15,126.	3,053.	1,127.
18 Payments of travel or entertainment expenses for any federal, state, or local public officials				
19 Conferences, conventions, and meetings	25,508.	19,402.	3,560.	2,546.
20 Interest	16,515.	15,157.	829.	529.
21 Payments to affiliates				
22 Depreciation, depletion, and amortization	622,990.	571,766.	31,259.	19,965.
23 Insurance	116,879.	94,120.	9,412.	13,347.
24 Other expenses. Itemize expenses not covered above. (List miscellaneous expenses in line 24e. If line 24e amount exceeds 10% of line 25, column (A) amount, list line 24e expenses on Schedule O.)				
a <b>OPERATING SUPPLIES</b>	650,928.	650,747.	88.	93.
b <b>COMM. &amp; DIRECT MAIL</b>	271,252.	260,029.	6,166.	5,057.
c <b>OTHER BOND COSTS</b>	140,798.	129,220.	7,065.	4,513.
d _____				
e All other expenses _____				
<b>25 Total functional expenses.</b> Add lines 1 through 24e	<b>10,681,086.</b>	<b>8,203,356.</b>	<b>931,525.</b>	<b>1,546,205.</b>
<b>26 Joint costs.</b> Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation.				

Check here  if following SOP 98-2 (ASC 958-720)

**Part X Balance Sheet**

Check if Schedule O contains a response or note to any line in this Part X

		(A) Beginning of year		(B) End of year
<b>Assets</b>	<b>1</b> Cash - non-interest-bearing .....	2,219,519.	<b>1</b>	2,014,322.
	<b>2</b> Savings and temporary cash investments .....	2,090,681.	<b>2</b>	1,490,985.
	<b>3</b> Pledges and grants receivable, net .....	2,082,925.	<b>3</b>	3,182,001.
	<b>4</b> Accounts receivable, net .....	55,458.	<b>4</b>	64,506.
	<b>5</b> Loans and other receivables from current and former officers, directors, trustees, key employees, and highest compensated employees. Complete Part II of Schedule L .....		<b>5</b>	
	<b>6</b> Loans and other receivables from other disqualified persons (as defined under section 4958(f)(1)), persons described in section 4958(c)(3)(B), and contributing employers and sponsoring organizations of section 501(c)(9) voluntary employees' beneficiary organizations (see instr). Complete Part II of Sch L .....		<b>6</b>	
	<b>7</b> Notes and loans receivable, net .....		<b>7</b>	
	<b>8</b> Inventories for sale or use .....	67,818.	<b>8</b>	86,043.
	<b>9</b> Prepaid expenses and deferred charges .....	155,438.	<b>9</b>	186,783.
	<b>10a</b> Land, buildings, and equipment: cost or other basis. Complete Part VI of Schedule D .....	<b>10a</b> 25,546,678.		
	<b>b</b> Less: accumulated depreciation .....	<b>10b</b> 4,785,137.		
	<b>11</b> Investments - publicly traded securities .....		<b>11</b>	
	<b>12</b> Investments - other securities. See Part IV, line 11 .....	18,713,905.	<b>12</b>	18,812,972.
	<b>13</b> Investments - program-related. See Part IV, line 11 .....		<b>13</b>	
	<b>14</b> Intangible assets .....		<b>14</b>	
	<b>15</b> Other assets. See Part IV, line 11 .....	435,153.	<b>15</b>	641,125.
<b>16 Total assets.</b> Add lines 1 through 15 (must equal line 34) .....	47,102,643.	<b>16</b>	47,240,278.	
<b>Liabilities</b>	<b>17</b> Accounts payable and accrued expenses .....	723,312.	<b>17</b>	646,534.
	<b>18</b> Grants payable .....		<b>18</b>	
	<b>19</b> Deferred revenue .....	61,453.	<b>19</b>	24,734.
	<b>20</b> Tax-exempt bond liabilities .....	12,775,000.	<b>20</b>	12,150,000.
	<b>21</b> Escrow or custodial account liability. Complete Part IV of Schedule D .....		<b>21</b>	
	<b>22</b> Loans and other payables to current and former officers, directors, trustees, key employees, highest compensated employees, and disqualified persons. Complete Part II of Schedule L .....		<b>22</b>	
	<b>23</b> Secured mortgages and notes payable to unrelated third parties .....		<b>23</b>	
	<b>24</b> Unsecured notes and loans payable to unrelated third parties .....		<b>24</b>	
	<b>25</b> Other liabilities (including federal income tax, payables to related third parties, and other liabilities not included on lines 17-24). Complete Part X of Schedule D .....		<b>25</b>	
	<b>26 Total liabilities.</b> Add lines 17 through 25 .....	13,559,765.	<b>26</b>	12,821,268.
<b>Net Assets or Fund Balances</b>	<b>Organizations that follow SFAS 117 (ASC 958), check here <input checked="" type="checkbox"/> and complete lines 27 through 29, and lines 33 and 34.</b>			
	<b>27</b> Unrestricted net assets .....	28,181,994.	<b>27</b>	28,261,293.
	<b>28</b> Temporarily restricted net assets .....	2,379,739.	<b>28</b>	3,176,572.
	<b>29</b> Permanently restricted net assets .....	2,981,145.	<b>29</b>	2,981,145.
	<b>Organizations that do not follow SFAS 117 (ASC 958), check here <input type="checkbox"/> and complete lines 30 through 34.</b>			
	<b>30</b> Capital stock or trust principal, or current funds .....		<b>30</b>	
	<b>31</b> Paid-in or capital surplus, or land, building, or equipment fund .....		<b>31</b>	
	<b>32</b> Retained earnings, endowment, accumulated income, or other funds .....		<b>32</b>	
<b>33</b> Total net assets or fund balances .....	33,542,878.	<b>33</b>	34,419,010.	
<b>34</b> Total liabilities and net assets/fund balances .....	47,102,643.	<b>34</b>	47,240,278.	

**Part XI Reconciliation of Net Assets**

Check if Schedule O contains a response or note to any line in this Part XI

<b>1</b>	Total revenue (must equal Part VIII, column (A), line 12)	<b>1</b>	11,932,182.
<b>2</b>	Total expenses (must equal Part IX, column (A), line 25)	<b>2</b>	10,681,086.
<b>3</b>	Revenue less expenses. Subtract line 2 from line 1	<b>3</b>	1,251,096.
<b>4</b>	Net assets or fund balances at beginning of year (must equal Part X, line 33, column (A))	<b>4</b>	33,542,878.
<b>5</b>	Net unrealized gains (losses) on investments	<b>5</b>	-374,964.
<b>6</b>	Donated services and use of facilities	<b>6</b>	
<b>7</b>	Investment expenses	<b>7</b>	
<b>8</b>	Prior period adjustments	<b>8</b>	
<b>9</b>	Other changes in net assets or fund balances (explain in Schedule O)	<b>9</b>	0.
<b>10</b>	Net assets or fund balances at end of year. Combine lines 3 through 9 (must equal Part X, line 33, column (B))	<b>10</b>	34,419,010.

**Part XII Financial Statements and Reporting**

Check if Schedule O contains a response or note to any line in this Part XII

- 1** Accounting method used to prepare the Form 990:  Cash  Accrual  Other \_\_\_\_\_  
If the organization changed its method of accounting from a prior year or checked "Other," explain in Schedule O.
- 2a** Were the organization's financial statements compiled or reviewed by an independent accountant? \_\_\_\_\_  
If "Yes," check a box below to indicate whether the financial statements for the year were compiled or reviewed on a separate basis, consolidated basis, or both:  
 Separate basis  Consolidated basis  Both consolidated and separate basis
- b** Were the organization's financial statements audited by an independent accountant? \_\_\_\_\_  
If "Yes," check a box below to indicate whether the financial statements for the year were audited on a separate basis, consolidated basis, or both:  
 Separate basis  Consolidated basis  Both consolidated and separate basis
- c** If "Yes" to line 2a or 2b, does the organization have a committee that assumes responsibility for oversight of the audit, review, or compilation of its financial statements and selection of an independent accountant? \_\_\_\_\_  
If the organization changed either its oversight process or selection process during the tax year, explain in Schedule O.
- 3a** As a result of a federal award, was the organization required to undergo an audit or audits as set forth in the Single Audit Act and OMB Circular A-133? \_\_\_\_\_
- b** If "Yes," did the organization undergo the required audit or audits? If the organization did not undergo the required audit or audits, explain why in Schedule O and describe any steps taken to undergo such audits \_\_\_\_\_

	Yes	No
<b>2a</b>		X
<b>2b</b>	X	
<b>2c</b>	X	
<b>3a</b>		X
<b>3b</b>		

**SCHEDULE A**  
**(Form 990 or 990-EZ)**

Department of the Treasury  
Internal Revenue Service

**Public Charity Status and Public Support**

Complete if the organization is a section 501(c)(3) organization or a section 4947(a)(1) nonexempt charitable trust.  
▶ Attach to Form 990 or Form 990-EZ.

▶ Information about Schedule A (Form 990 or 990-EZ) and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).

OMB No. 1545-0047

**2015**

Open to Public Inspection

<b>Name of the organization</b> HUMANE SOCIETY SILICON VALLEY	<b>Employer identification number</b> 94-1196215
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**Part I Reason for Public Charity Status** (All organizations must complete this part.) See instructions.

The organization is not a private foundation because it is: (For lines 1 through 11, check only one box.)

- 1  A church, convention of churches, or association of churches described in **section 170(b)(1)(A)(i).**
- 2  A school described in **section 170(b)(1)(A)(ii).** (Attach Schedule E (Form 990 or 990-EZ).)
- 3  A hospital or a cooperative hospital service organization described in **section 170(b)(1)(A)(iii).**
- 4  A medical research organization operated in conjunction with a hospital described in **section 170(b)(1)(A)(iii).** Enter the hospital's name, city, and state: \_\_\_\_\_
- 5  An organization operated for the benefit of a college or university owned or operated by a governmental unit described in **section 170(b)(1)(A)(iv).** (Complete Part II.)
- 6  A federal, state, or local government or governmental unit described in **section 170(b)(1)(A)(v).**
- 7  An organization that normally receives a substantial part of its support from a governmental unit or from the general public described in **section 170(b)(1)(A)(vi).** (Complete Part II.)
- 8  A community trust described in **section 170(b)(1)(A)(vi).** (Complete Part II.)
- 9  An organization that normally receives: (1) more than 33 1/3% of its support from contributions, membership fees, and gross receipts from activities related to its exempt functions - subject to certain exceptions, and (2) no more than 33 1/3% of its support from gross investment income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after June 30, 1975. See **section 509(a)(2).** (Complete Part III.)
- 10  An organization organized and operated exclusively to test for public safety. See **section 509(a)(4).**
- 11  An organization organized and operated exclusively for the benefit of, to perform the functions of, or to carry out the purposes of one or more publicly supported organizations described in **section 509(a)(1)** or **section 509(a)(2).** See **section 509(a)(3).** Check the box in lines 11a through 11d that describes the type of supporting organization and complete lines 11e, 11f, and 11g.
  - a  **Type I.** A supporting organization operated, supervised, or controlled by its supported organization(s), typically by giving the supported organization(s) the power to regularly appoint or elect a majority of the directors or trustees of the supporting organization. **You must complete Part IV, Sections A and B.**
  - b  **Type II.** A supporting organization supervised or controlled in connection with its supported organization(s), by having control or management of the supporting organization vested in the same persons that control or manage the supported organization(s). **You must complete Part IV, Sections A and C.**
  - c  **Type III functionally integrated.** A supporting organization operated in connection with, and functionally integrated with, its supported organization(s) (see instructions). **You must complete Part IV, Sections A, D, and E.**
  - d  **Type III non-functionally integrated.** A supporting organization operated in connection with its supported organization(s) that is not functionally integrated. The organization generally must satisfy a distribution requirement and an attentiveness requirement (see instructions). **You must complete Part IV, Sections A and D, and Part V.**
  - e  Check this box if the organization received a written determination from the IRS that it is a Type I, Type II, Type III functionally integrated, or Type III non-functionally integrated supporting organization.

f Enter the number of supported organizations .....

g Provide the following information about the supported organization(s).

(i) Name of supported organization	(ii) EIN	(iii) Type of organization (described on lines 1-9 above (see instructions))	(iv) Is the organization listed in your governing document?		(v) Amount of monetary support (see instructions)	(vi) Amount of other support (see instructions)
			Yes	No		
<b>Total</b>						

**Part II Support Schedule for Organizations Described in Sections 170(b)(1)(A)(iv) and 170(b)(1)(A)(vi)**

(Complete only if you checked the box on line 5, 7, or 8 of Part I or if the organization failed to qualify under Part III. If the organization fails to qualify under the tests listed below, please complete Part III.)

**Section A. Public Support**

Calendar year (or fiscal year beginning in) ►	(a) 2011	(b) 2012	(c) 2013	(d) 2014	(e) 2015	(f) Total
<b>1</b> Gifts, grants, contributions, and membership fees received. (Do not include any "unusual grants.") .....	6040482.	7004482.	11240889.	10660153.	9530904.	44476910.
<b>2</b> Tax revenues levied for the organization's benefit and either paid to or expended on its behalf .....						
<b>3</b> The value of services or facilities furnished by a governmental unit to the organization without charge .....						
<b>4 Total.</b> Add lines 1 through 3 .....	6040482.	7004482.	11240889.	10660153.	9530904.	44476910.
<b>5</b> The portion of total contributions by each person (other than a governmental unit or publicly supported organization) included on line 1 that exceeds 2% of the amount shown on line 11, column (f) .....						5788063.
<b>6 Public support.</b> Subtract line 5 from line 4.						38688847.

**Section B. Total Support**

Calendar year (or fiscal year beginning in) ►	(a) 2011	(b) 2012	(c) 2013	(d) 2014	(e) 2015	(f) Total
<b>7</b> Amounts from line 4 .....	6040482.	7004482.	11240889.	10660153.	9530904.	44476910.
<b>8</b> Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources .....	426,938.	312,870.	362,978.	497,826.	533,958.	2134570.
<b>9</b> Net income from unrelated business activities, whether or not the business is regularly carried on .....						
<b>10</b> Other income. Do not include gain or loss from the sale of capital assets (Explain in Part VI.) .....						
<b>11 Total support.</b> Add lines 7 through 10						46611480.
<b>12</b> Gross receipts from related activities, etc. (see instructions) .....					12	13,963,673.
<b>13 First five years.</b> If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and <b>stop here</b> .....						<input type="checkbox"/>

**Section C. Computation of Public Support Percentage**

<b>14</b> Public support percentage for 2015 (line 6, column (f) divided by line 11, column (f)) .....	<b>14</b>	83.00 %
<b>15</b> Public support percentage from 2014 Schedule A, Part II, line 14 .....	<b>15</b>	79.82 %
<b>16a 33 1/3% support test - 2015.</b> If the organization did not check the box on line 13, and line 14 is 33 1/3% or more, check this box and <b>stop here.</b> The organization qualifies as a publicly supported organization .....	► <input checked="" type="checkbox"/>	
<b>b 33 1/3% support test - 2014.</b> If the organization did not check a box on line 13 or 16a, and line 15 is 33 1/3% or more, check this box and <b>stop here.</b> The organization qualifies as a publicly supported organization .....	► <input type="checkbox"/>	
<b>17a 10% -facts-and-circumstances test - 2015.</b> If the organization did not check a box on line 13, 16a, or 16b, and line 14 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and <b>stop here.</b> Explain in Part VI how the organization meets the "facts-and-circumstances" test. The organization qualifies as a publicly supported organization .....	► <input type="checkbox"/>	
<b>b 10% -facts-and-circumstances test - 2014.</b> If the organization did not check a box on line 13, 16a, 16b, or 17a, and line 15 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and <b>stop here.</b> Explain in Part VI how the organization meets the "facts-and-circumstances" test. The organization qualifies as a publicly supported organization .....	► <input type="checkbox"/>	
<b>18 Private foundation.</b> If the organization did not check a box on line 13, 16a, 16b, 17a, or 17b, check this box and see instructions .....	► <input type="checkbox"/>	

**Part III Support Schedule for Organizations Described in Section 509(a)(2)**

(Complete only if you checked the box on line 9 of Part I or if the organization failed to qualify under Part II. If the organization fails to qualify under the tests listed below, please complete Part II.)

**Section A. Public Support**

Calendar year (or fiscal year beginning in) ►	(a) 2011	(b) 2012	(c) 2013	(d) 2014	(e) 2015	(f) Total
<b>1</b> Gifts, grants, contributions, and membership fees received. (Do not include any "unusual grants.") .....						
<b>2</b> Gross receipts from admissions, merchandise sold or services performed, or facilities furnished in any activity that is related to the organization's tax-exempt purpose .....						
<b>3</b> Gross receipts from activities that are not an unrelated trade or business under section 513 .....						
<b>4</b> Tax revenues levied for the organization's benefit and either paid to or expended on its behalf .....						
<b>5</b> The value of services or facilities furnished by a governmental unit to the organization without charge .....						
<b>6 Total.</b> Add lines 1 through 5 .....						
<b>7a</b> Amounts included on lines 1, 2, and 3 received from disqualified persons .....						
<b>b</b> Amounts included on lines 2 and 3 received from other than disqualified persons that exceed the greater of \$5,000 or 1% of the amount on line 13 for the year .....						
<b>c</b> Add lines 7a and 7b .....						
<b>8 Public support.</b> (Subtract line 7c from line 6.)						

**Section B. Total Support**

Calendar year (or fiscal year beginning in) ►	(a) 2011	(b) 2012	(c) 2013	(d) 2014	(e) 2015	(f) Total
<b>9</b> Amounts from line 6 .....						
<b>10a</b> Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources .....						
<b>b</b> Unrelated business taxable income (less section 511 taxes) from businesses acquired after June 30, 1975 .....						
<b>c</b> Add lines 10a and 10b .....						
<b>11</b> Net income from unrelated business activities not included in line 10b, whether or not the business is regularly carried on .....						
<b>12</b> Other income. Do not include gain or loss from the sale of capital assets (Explain in Part VI.) .....						
<b>13 Total support.</b> (Add lines 9, 10c, 11, and 12.)						

**14 First five years.** If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and **stop here** ..... ►

**Section C. Computation of Public Support Percentage**

<b>15</b> Public support percentage for 2015 (line 8, column (f) divided by line 13, column (f)) .....	<b>15</b>	%
<b>16</b> Public support percentage from 2014 Schedule A, Part III, line 15 .....	<b>16</b>	%

**Section D. Computation of Investment Income Percentage**

<b>17</b> Investment income percentage for 2015 (line 10c, column (f) divided by line 13, column (f)) .....	<b>17</b>	%
<b>18</b> Investment income percentage from 2014 Schedule A, Part III, line 17 .....	<b>18</b>	%

**19a 33 1/3% support tests - 2015.** If the organization did not check the box on line 14, and line 15 is more than 33 1/3%, and line 17 is not more than 33 1/3%, check this box and **stop here.** The organization qualifies as a publicly supported organization ..... ►

**b 33 1/3% support tests - 2014.** If the organization did not check a box on line 14 or line 19a, and line 16 is more than 33 1/3%, and line 18 is not more than 33 1/3%, check this box and **stop here.** The organization qualifies as a publicly supported organization ..... ►

**20 Private foundation.** If the organization did not check a box on line 14, 19a, or 19b, check this box and see instructions ..... ►



**Part IV Supporting Organizations**

(Complete only if you checked a box in line 11 on Part I. If you checked 11a of Part I, complete Sections A and B. If you checked 11b of Part I, complete Sections A and C. If you checked 11c of Part I, complete Sections A, D, and E. If you checked 11d of Part I, complete Sections A and D, and complete Part V.)

**Section A. All Supporting Organizations**

	Yes	No
<b>1</b> Are all of the organization's supported organizations listed by name in the organization's governing documents? <i>If "No" describe in Part VI how the supported organizations are designated. If designated by class or purpose, describe the designation. If historic and continuing relationship, explain.</i>		
<b>2</b> Did the organization have any supported organization that does not have an IRS determination of status under section 509(a)(1) or (2)? <i>If "Yes," explain in Part VI how the organization determined that the supported organization was described in section 509(a)(1) or (2).</i>		
<b>3a</b> Did the organization have a supported organization described in section 501(c)(4), (5), or (6)? <i>If "Yes," answer (b) and (c) below.</i>		
<b>b</b> Did the organization confirm that each supported organization qualified under section 501(c)(4), (5), or (6) and satisfied the public support tests under section 509(a)(2)? <i>If "Yes," describe in Part VI when and how the organization made the determination.</i>		
<b>c</b> Did the organization ensure that all support to such organizations was used exclusively for section 170(c)(2)(B) purposes? <i>If "Yes," explain in Part VI what controls the organization put in place to ensure such use.</i>		
<b>4a</b> Was any supported organization not organized in the United States ("foreign supported organization")? <i>If "Yes," and if you checked 11a or 11b in Part I, answer (b) and (c) below.</i>		
<b>b</b> Did the organization have ultimate control and discretion in deciding whether to make grants to the foreign supported organization? <i>If "Yes," describe in Part VI how the organization had such control and discretion despite being controlled or supervised by or in connection with its supported organizations.</i>		
<b>c</b> Did the organization support any foreign supported organization that does not have an IRS determination under sections 501(c)(3) and 509(a)(1) or (2)? <i>If "Yes," explain in Part VI what controls the organization used to ensure that all support to the foreign supported organization was used exclusively for section 170(c)(2)(B) purposes.</i>		
<b>5a</b> Did the organization add, substitute, or remove any supported organizations during the tax year? <i>If "Yes," answer (b) and (c) below (if applicable). Also, provide detail in Part VI, including (i) the names and EIN numbers of the supported organizations added, substituted, or removed; (ii) the reasons for each such action; (iii) the authority under the organization's organizing document authorizing such action; and (iv) how the action was accomplished (such as by amendment to the organizing document).</i>		
<b>b Type I or Type II only.</b> Was any added or substituted supported organization part of a class already designated in the organization's organizing document?		
<b>c Substitutions only.</b> Was the substitution the result of an event beyond the organization's control?		
<b>6</b> Did the organization provide support (whether in the form of grants or the provision of services or facilities) to anyone other than (i) its supported organizations, (ii) individuals that are part of the charitable class benefited by one or more of its supported organizations, or (iii) other supporting organizations that also support or benefit one or more of the filing organization's supported organizations? <i>If "Yes," provide detail in Part VI.</i>		
<b>7</b> Did the organization provide a grant, loan, compensation, or other similar payment to a substantial contributor (defined in section 4958(c)(3)(C)), a family member of a substantial contributor, or a 35% controlled entity with regard to a substantial contributor? <i>If "Yes," complete Part I of Schedule L (Form 990 or 990-EZ).</i>		
<b>8</b> Did the organization make a loan to a disqualified person (as defined in section 4958) not described in line 7? <i>If "Yes," complete Part I of Schedule L (Form 990 or 990-EZ).</i>		
<b>9a</b> Was the organization controlled directly or indirectly at any time during the tax year by one or more disqualified persons as defined in section 4946 (other than foundation managers and organizations described in section 509(a)(1) or (2))? <i>If "Yes," provide detail in Part VI.</i>		
<b>b</b> Did one or more disqualified persons (as defined in line 9a) hold a controlling interest in any entity in which the supporting organization had an interest? <i>If "Yes," provide detail in Part VI.</i>		
<b>c</b> Did a disqualified person (as defined in line 9a) have an ownership interest in, or derive any personal benefit from, assets in which the supporting organization also had an interest? <i>If "Yes," provide detail in Part VI.</i>		
<b>10a</b> Was the organization subject to the excess business holdings rules of section 4943 because of section 4943(f) (regarding certain Type II supporting organizations, and all Type III non-functionally integrated supporting organizations)? <i>If "Yes," answer 10b below.</i>		
<b>b</b> Did the organization have any excess business holdings in the tax year? <i>(Use Schedule C, Form 4720, to determine whether the organization had excess business holdings.)</i>		

**Part IV Supporting Organizations** (continued)

	Yes	No
<b>11</b> Has the organization accepted a gift or contribution from any of the following persons?		
<b>a</b> A person who directly or indirectly controls, either alone or together with persons described in (b) and (c) below, the governing body of a supported organization?		
<b>b</b> A family member of a person described in (a) above?		
<b>c</b> A 35% controlled entity of a person described in (a) or (b) above? <i>If "Yes" to a, b, or c, provide detail in Part VI.</i>		

**Section B. Type I Supporting Organizations**

	Yes	No
<b>1</b> Did the directors, trustees, or membership of one or more supported organizations have the power to regularly appoint or elect at least a majority of the organization's directors or trustees at all times during the tax year? <i>If "No," describe in Part VI how the supported organization(s) effectively operated, supervised, or controlled the organization's activities. If the organization had more than one supported organization, describe how the powers to appoint and/or remove directors or trustees were allocated among the supported organizations and what conditions or restrictions, if any, applied to such powers during the tax year.</i>		
<b>2</b> Did the organization operate for the benefit of any supported organization other than the supported organization(s) that operated, supervised, or controlled the supporting organization? <i>If "Yes," explain in Part VI how providing such benefit carried out the purposes of the supported organization(s) that operated, supervised, or controlled the supporting organization.</i>		

**Section C. Type II Supporting Organizations**

	Yes	No
<b>1</b> Were a majority of the organization's directors or trustees during the tax year also a majority of the directors or trustees of each of the organization's supported organization(s)? <i>If "No," describe in Part VI how control or management of the supporting organization was vested in the same persons that controlled or managed the supported organization(s).</i>		

**Section D. All Type III Supporting Organizations**

	Yes	No
<b>1</b> Did the organization provide to each of its supported organizations, by the last day of the fifth month of the organization's tax year, (i) a written notice describing the type and amount of support provided during the prior tax year, (ii) a copy of the Form 990 that was most recently filed as of the date of notification, and (iii) copies of the organization's governing documents in effect on the date of notification, to the extent not previously provided?		
<b>2</b> Were any of the organization's officers, directors, or trustees either (i) appointed or elected by the supported organization(s) or (ii) serving on the governing body of a supported organization? <i>If "No," explain in Part VI how the organization maintained a close and continuous working relationship with the supported organization(s).</i>		
<b>3</b> By reason of the relationship described in (2), did the organization's supported organizations have a significant voice in the organization's investment policies and in directing the use of the organization's income or assets at all times during the tax year? <i>If "Yes," describe in Part VI the role the organization's supported organizations played in this regard.</i>		

**Section E. Type III Functionally-Integrated Supporting Organizations**

<b>1</b> Check the box next to the method that the organization used to satisfy the Integral Part Test during the year (see instructions):		
<b>a</b> <input type="checkbox"/> The organization satisfied the Activities Test. Complete line 2 below.		
<b>b</b> <input type="checkbox"/> The organization is the parent of each of its supported organizations. Complete line 3 below.		
<b>c</b> <input type="checkbox"/> The organization supported a governmental entity. Describe in Part VI how you supported a government entity (see instructions).		
<b>2</b> Activities Test. Answer (a) and (b) below.		
<b>a</b> Did substantially all of the organization's activities during the tax year directly further the exempt purposes of the supported organization(s) to which the organization was responsive? <i>If "Yes," then in Part VI identify those supported organizations and explain how these activities directly furthered their exempt purposes, how the organization was responsive to those supported organizations, and how the organization determined that these activities constituted substantially all of its activities.</i>		
<b>b</b> Did the activities described in (a) constitute activities that, but for the organization's involvement, one or more of the organization's supported organization(s) would have been engaged in? <i>If "Yes," explain in Part VI the reasons for the organization's position that its supported organization(s) would have engaged in these activities but for the organization's involvement.</i>		
<b>3</b> Parent of Supported Organizations. Answer (a) and (b) below.		
<b>a</b> Did the organization have the power to regularly appoint or elect a majority of the officers, directors, or trustees of each of the supported organizations? Provide details in Part VI.		
<b>b</b> Did the organization exercise a substantial degree of direction over the policies, programs, and activities of each of its supported organizations? <i>If "Yes," describe in Part VI the role played by the organization in this regard.</i>		

**Part V Type III Non-Functionally Integrated 509(a)(3) Supporting Organizations**

- 1  Check here if the organization satisfied the Integral Part Test as a qualifying trust on Nov. 20, 1970. See instructions. All other Type III non-functionally integrated supporting organizations must complete Sections A through E.

Section A - Adjusted Net Income		(A) Prior Year	(B) Current Year (optional)
1	Net short-term capital gain	1	
2	Recoveries of prior-year distributions	2	
3	Other gross income (see instructions)	3	
4	Add lines 1 through 3	4	
5	Depreciation and depletion	5	
6	Portion of operating expenses paid or incurred for production or collection of gross income or for management, conservation, or maintenance of property held for production of income (see instructions)	6	
7	Other expenses (see instructions)	7	
8	<b>Adjusted Net Income</b> (subtract lines 5, 6 and 7 from line 4)	8	

Section B - Minimum Asset Amount		(A) Prior Year	(B) Current Year (optional)
1	Aggregate fair market value of all non-exempt-use assets (see instructions for short tax year or assets held for part of year):		
a	Average monthly value of securities	1a	
b	Average monthly cash balances	1b	
c	Fair market value of other non-exempt-use assets	1c	
d	<b>Total</b> (add lines 1a, 1b, and 1c)	1d	
e	<b>Discount</b> claimed for blockage or other factors (explain in detail in Part VI):		
2	Acquisition indebtedness applicable to non-exempt-use assets	2	
3	Subtract line 2 from line 1d	3	
4	Cash deemed held for exempt use. Enter 1-1/2% of line 3 (for greater amount, see instructions).	4	
5	Net value of non-exempt-use assets (subtract line 4 from line 3)	5	
6	Multiply line 5 by .035	6	
7	Recoveries of prior-year distributions	7	
8	<b>Minimum Asset Amount</b> (add line 7 to line 6)	8	

Section C - Distributable Amount			Current Year
1	Adjusted net income for prior year (from Section A, line 8, Column A)	1	
2	Enter 85% of line 1	2	
3	Minimum asset amount for prior year (from Section B, line 8, Column A)	3	
4	Enter greater of line 2 or line 3	4	
5	Income tax imposed in prior year	5	
6	<b>Distributable Amount.</b> Subtract line 5 from line 4, unless subject to emergency temporary reduction (see instructions)	6	
7	<input type="checkbox"/> Check here if the current year is the organization's first as a non-functionally-integrated Type III supporting organization (see instructions).		

Schedule A (Form 990 or 990-EZ) 2015

**Part V Type III Non-Functionally Integrated 509(a)(3) Supporting Organizations** (continued)

<b>Section D - Distributions</b>	<b>Current Year</b>
<b>1</b> Amounts paid to supported organizations to accomplish exempt purposes	
<b>2</b> Amounts paid to perform activity that directly furthers exempt purposes of supported organizations, in excess of income from activity	
<b>3</b> Administrative expenses paid to accomplish exempt purposes of supported organizations	
<b>4</b> Amounts paid to acquire exempt-use assets	
<b>5</b> Qualified set-aside amounts (prior IRS approval required)	
<b>6</b> Other distributions (describe in <b>Part VI</b> ). See instructions.	
<b>7 Total annual distributions.</b> Add lines 1 through 6.	
<b>8</b> Distributions to attentive supported organizations to which the organization is responsive (provide details in <b>Part VI</b> ). See instructions.	
<b>9</b> Distributable amount for 2015 from Section C, line 6	
<b>10</b> Line 8 amount divided by Line 9 amount	

<b>Section E - Distribution Allocations (see instructions)</b>	<b>(i) Excess Distributions</b>	<b>(ii) Underdistributions Pre-2015</b>	<b>(iii) Distributable Amount for 2015</b>
<b>1</b> Distributable amount for 2015 from Section C, line 6			
<b>2</b> Underdistributions, if any, for years prior to 2015 (reasonable cause required-see instructions)			
<b>3</b> Excess distributions carryover, if any, to 2015:			
<b>a</b>			
<b>b</b>			
<b>c</b>			
<b>d</b> From 2013			
<b>e</b> From 2014			
<b>f Total</b> of lines 3a through e			
<b>g</b> Applied to underdistributions of prior years			
<b>h</b> Applied to 2015 distributable amount			
<b>i</b> Carryover from 2010 not applied (see instructions)			
<b>j</b> Remainder. Subtract lines 3g, 3h, and 3i from 3f.			
<b>4</b> Distributions for 2015 from Section D, line 7: \$			
<b>a</b> Applied to underdistributions of prior years			
<b>b</b> Applied to 2015 distributable amount			
<b>c</b> Remainder. Subtract lines 4a and 4b from 4.			
<b>5</b> Remaining underdistributions for years prior to 2015, if any. Subtract lines 3g and 4a from line 2 (if amount greater than zero, see instructions).			
<b>6</b> Remaining underdistributions for 2015. Subtract lines 3h and 4b from line 1 (if amount greater than zero, see instructions).			
<b>7 Excess distributions carryover to 2016.</b> Add lines 3j and 4c.			
<b>8</b> Breakdown of line 7:			
<b>a</b>			
<b>b</b>			
<b>c</b> Excess from 2013			
<b>d</b> Excess from 2014			
<b>e</b> Excess from 2015			

**Part VI**

**Supplemental Information.** Provide the explanations required by Part II, line 10; Part II, line 17a or 17b; Part III, line 12; Part IV, Section A, lines 1, 2, 3b, 3c, 4b, 4c, 5a, 6, 9a, 9b, 9c, 11a, 11b, and 11c; Part IV, Section B, lines 1 and 2; Part IV, Section C, line 1; Part IV, Section D, lines 2 and 3; Part IV, Section E, lines 1c, 2a, 2b, 3a and 3b; Part V, line 1; Part V, Section B, line 1e; Part V, Section D, lines 5, 6, and 8; and Part V, Section E, lines 2, 5, and 6. Also complete this part for any additional information.  
(See instructions.)

Horizontal lines for providing supplemental information.

**Schedule B**

(Form 990, 990-EZ, or 990-PF)

Department of the Treasury  
Internal Revenue Service

**Schedule of Contributors**

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.  
▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).

OMB No. 1545-0047

**2015**

Name of the organization

HUMANE SOCIETY SILICON VALLEY

Employer identification number

94-1196215

Organization type (check one):

Filers of:

Section:

Form 990 or 990-EZ

501(c)( 3 ) (enter number) organization

4947(a)(1) nonexempt charitable trust **not** treated as a private foundation

527 political organization

Form 990-PF

501(c)(3) exempt private foundation

4947(a)(1) nonexempt charitable trust treated as a private foundation

501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.

**Note.** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

**General Rule**

For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

**Special Rules**

For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.

For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.

For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year ..... ▶ \$ \_\_\_\_\_

**Caution.** An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF. Schedule B (Form 990, 990-EZ, or 990-PF) (2015)

<b>Name of organization</b> HUMANE SOCIETY SILICON VALLEY	<b>Employer identification number</b> 94-1196215
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**Part I Contributors** (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	_____ _____ _____	\$ 253,500.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
2	_____ _____ _____	\$ 272,500.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
3	_____ _____ _____	\$ 245,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
4	_____ _____ _____	\$ 800,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
5	_____ _____ _____	\$ 288,075.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
6	_____ _____ _____	\$ 215,250.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)

<b>Name of organization</b>  <b>HUMANE SOCIETY SILICON VALLEY</b>	<b>Employer identification number</b>  <b>94-1196215</b>
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**Part I Contributors** (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
7	<hr/> <hr/> <hr/>	\$ <u>208,300.</u>	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input checked="" type="checkbox"/> (Complete Part II for noncash contributions.)
8	<hr/> <hr/> <hr/>	\$ <u>1,102,200.</u>	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input checked="" type="checkbox"/> (Complete Part II for noncash contributions.)
9	<hr/> <hr/> <hr/>	\$ <u>650,000.</u>	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
10	<hr/> <hr/> <hr/>	\$ <u>224,878.</u>	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
<hr/> <hr/> <hr/>	<hr/> <hr/> <hr/>	\$ _____	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
<hr/> <hr/> <hr/>	<hr/> <hr/> <hr/>	\$ _____	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)



Name of organization  <b>HUMANE SOCIETY SILICON VALLEY</b>	Employer identification number  <b>94-1196215</b>
--	---

**Part II Noncash Property** (see instructions). Use duplicate copies of Part II if additional space is needed.

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
7	VEHICLE _____ _____ _____	\$ 8,300.	06/30/16
8	WINE AND 2 VIP TICKETS TO 49ERS FOOTBALL GAME _____ _____ _____	\$ 1,700.	06/30/16
	_____ _____ _____	\$ _____	_____
	_____ _____ _____	\$ _____	_____
	_____ _____ _____	\$ _____	_____
	_____ _____ _____	\$ _____	_____

Name of organization  <b>HUMANE SOCIETY SILICON VALLEY</b>	Employer identification number  <b>94-1196215</b>
--	---

**Part III** Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year. (Enter this info. once.) ▶ \$ \_\_\_\_\_  
Use duplicate copies of Part III if additional space is needed.

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
<b>(e) Transfer of gift</b>			
Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee	
<b>(e) Transfer of gift</b>			
Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee	
<b>(e) Transfer of gift</b>			
Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee	
<b>(e) Transfer of gift</b>			
Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee	
<b>(e) Transfer of gift</b>			
Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee	

**SCHEDULE C**  
**(Form 990 or 990-EZ)**

**Political Campaign and Lobbying Activities**

OMB No. 1545-0047

**2015**

**Open to Public Inspection**

Department of the Treasury  
Internal Revenue Service

For Organizations Exempt From Income Tax Under section 501(c) and section 527

▶ **Complete if the organization is described below.** ▶ **Attach to Form 990 or Form 990-EZ.**

▶ **Information about Schedule C (Form 990 or 990-EZ) and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).**

**If the organization answered "Yes," on Form 990, Part IV, line 3, or Form 990-EZ, Part V, line 46 (Political Campaign Activities), then**

- Section 501(c)(3) organizations: Complete Parts I-A and B. Do not complete Part I-C.
- Section 501(c) (other than section 501(c)(3)) organizations: Complete Parts I-A and C below. Do not complete Part I-B.
- Section 527 organizations: Complete Part I-A only.

**If the organization answered "Yes," on Form 990, Part IV, line 4, or Form 990-EZ, Part VI, line 47 (Lobbying Activities), then**

- Section 501(c)(3) organizations that have filed Form 5768 (election under section 501(h)): Complete Part II-A. Do not complete Part II-B.
- Section 501(c)(3) organizations that have NOT filed Form 5768 (election under section 501(h)): Complete Part II-B. Do not complete Part II-A.

**If the organization answered "Yes," on Form 990, Part IV, line 5 (Proxy Tax) (see separate instructions) or Form 990-EZ, Part V, line 35c (Proxy Tax) (see separate instructions), then**

- Section 501(c)(4), (5), or (6) organizations: Complete Part III.

Name of organization <b>HUMANE SOCIETY SILICON VALLEY</b>	Employer identification number <b>94-1196215</b>
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**Part I-A Complete if the organization is exempt under section 501(c) or is a section 527 organization.**

- 1 Provide a description of the organization's direct and indirect political campaign activities in Part IV.
- 2 Political expenditures ..... ▶ \$ \_\_\_\_\_
- 3 Volunteer hours ..... \_\_\_\_\_

**Part I-B Complete if the organization is exempt under section 501(c)(3).**

- 1 Enter the amount of any excise tax incurred by the organization under section 4955 ..... ▶ \$ \_\_\_\_\_
- 2 Enter the amount of any excise tax incurred by organization managers under section 4955 ..... ▶ \$ \_\_\_\_\_
- 3 If the organization incurred a section 4955 tax, did it file Form 4720 for this year? .....  Yes  No
- 4a Was a correction made? .....  Yes  No
- b If "Yes," describe in Part IV.

**Part I-C Complete if the organization is exempt under section 501(c), except section 501(c)(3).**

- 1 Enter the amount directly expended by the filing organization for section 527 exempt function activities ..... ▶ \$ \_\_\_\_\_
- 2 Enter the amount of the filing organization's funds contributed to other organizations for section 527 exempt function activities ..... ▶ \$ \_\_\_\_\_
- 3 Total exempt function expenditures. Add lines 1 and 2. Enter here and on Form 1120-POL, line 17b ..... ▶ \$ \_\_\_\_\_
- 4 Did the filing organization file **Form 1120-POL** for this year? .....  Yes  No
- 5 Enter the names, addresses and employer identification number (EIN) of all section 527 political organizations to which the filing organization made payments. For each organization listed, enter the amount paid from the filing organization's funds. Also enter the amount of political contributions received that were promptly and directly delivered to a separate political organization, such as a separate segregated fund or a political action committee (PAC). If additional space is needed, provide information in Part IV.

(a) Name	(b) Address	(c) EIN	(d) Amount paid from filing organization's funds. If none, enter -0-.	(e) Amount of political contributions received and promptly and directly delivered to a separate political organization. If none, enter -0-.

**Part II-A Complete if the organization is exempt under section 501(c)(3) and filed Form 5768 (election under section 501(h)).**

- A** Check  if the filing organization belongs to an affiliated group (and list in Part IV each affiliated group member's name, address, EIN, expenses, and share of excess lobbying expenditures).
- B** Check  if the filing organization checked box A and "limited control" provisions apply.

<b>Limits on Lobbying Expenditures</b> (The term "expenditures" means amounts paid or incurred.)	(a) Filing organization's totals	(b) Affiliated group totals												
<b>1a</b> Total lobbying expenditures to influence public opinion (grass roots lobbying) .....														
<b>b</b> Total lobbying expenditures to influence a legislative body (direct lobbying) .....														
<b>c</b> Total lobbying expenditures (add lines 1a and 1b) .....														
<b>d</b> Other exempt purpose expenditures .....														
<b>e</b> Total exempt purpose expenditures (add lines 1c and 1d) .....														
<b>f</b> Lobbying nontaxable amount. Enter the amount from the following table in both columns.														
<table border="1" style="width:100%; border-collapse: collapse;"> <thead> <tr> <th style="width:35%;">If the amount on line 1e, column (a) or (b) is:</th> <th style="width:65%;">The lobbying nontaxable amount is:</th> </tr> </thead> <tbody> <tr> <td>Not over \$500,000</td> <td>20% of the amount on line 1e.</td> </tr> <tr> <td>Over \$500,000 but not over \$1,000,000</td> <td>\$100,000 plus 15% of the excess over \$500,000.</td> </tr> <tr> <td>Over \$1,000,000 but not over \$1,500,000</td> <td>\$175,000 plus 10% of the excess over \$1,000,000.</td> </tr> <tr> <td>Over \$1,500,000 but not over \$17,000,000</td> <td>\$225,000 plus 5% of the excess over \$1,500,000.</td> </tr> <tr> <td>Over \$17,000,000</td> <td>\$1,000,000.</td> </tr> </tbody> </table>	If the amount on line 1e, column (a) or (b) is:	The lobbying nontaxable amount is:	Not over \$500,000	20% of the amount on line 1e.	Over \$500,000 but not over \$1,000,000	\$100,000 plus 15% of the excess over \$500,000.	Over \$1,000,000 but not over \$1,500,000	\$175,000 plus 10% of the excess over \$1,000,000.	Over \$1,500,000 but not over \$17,000,000	\$225,000 plus 5% of the excess over \$1,500,000.	Over \$17,000,000	\$1,000,000.		
If the amount on line 1e, column (a) or (b) is:	The lobbying nontaxable amount is:													
Not over \$500,000	20% of the amount on line 1e.													
Over \$500,000 but not over \$1,000,000	\$100,000 plus 15% of the excess over \$500,000.													
Over \$1,000,000 but not over \$1,500,000	\$175,000 plus 10% of the excess over \$1,000,000.													
Over \$1,500,000 but not over \$17,000,000	\$225,000 plus 5% of the excess over \$1,500,000.													
Over \$17,000,000	\$1,000,000.													
<b>g</b> Grassroots nontaxable amount (enter 25% of line 1f) .....														
<b>h</b> Subtract line 1g from line 1a. If zero or less, enter -0- .....														
<b>i</b> Subtract line 1f from line 1c. If zero or less, enter -0- .....														
<b>j</b> If there is an amount other than zero on either line 1h or line 1i, did the organization file Form 4720 reporting section 4911 tax for this year? .....	<input type="checkbox"/> Yes	<input type="checkbox"/> No												

**4-Year Averaging Period Under section 501(h)**  
(Some organizations that made a section 501(h) election do not have to complete all of the five columns below. See the separate instructions for lines 2a through 2f.)

<b>Lobbying Expenditures During 4-Year Averaging Period</b>					
Calendar year (or fiscal year beginning in)	(a) 2012	(b) 2013	(c) 2014	(d) 2015	(e) Total
<b>2a</b> Lobbying nontaxable amount					
<b>b</b> Lobbying ceiling amount (150% of line 2a, column(e))					
<b>c</b> Total lobbying expenditures					
<b>d</b> Grassroots nontaxable amount					
<b>e</b> Grassroots ceiling amount (150% of line 2d, column (e))					
<b>f</b> Grassroots lobbying expenditures					

**Part II-B Complete if the organization is exempt under section 501(c)(3) and has NOT filed Form 5768 (election under section 501(h)).**

For each "Yes," response on lines 1a through 1i below, provide in Part IV a detailed description of the lobbying activity.	(a)		(b)
	Yes	No	Amount
<b>1</b> During the year, did the filing organization attempt to influence foreign, national, state or local legislation, including any attempt to influence public opinion on a legislative matter or referendum, through the use of:			
<b>a</b> Volunteers?		X	
<b>b</b> Paid staff or management (include compensation in expenses reported on lines 1c through 1i)?	X		
<b>c</b> Media advertisements?		X	
<b>d</b> Mailings to members, legislators, or the public?	X		
<b>e</b> Publications, or published or broadcast statements?		X	
<b>f</b> Grants to other organizations for lobbying purposes?		X	
<b>g</b> Direct contact with legislators, their staffs, government officials, or a legislative body?		X	
<b>h</b> Rallies, demonstrations, seminars, conventions, speeches, lectures, or any similar means?		X	
<b>i</b> Other activities?	X		550.
<b>j</b> Total. Add lines 1c through 1i			550.
<b>2a</b> Did the activities in line 1 cause the organization to be not described in section 501(c)(3)?		X	
<b>b</b> If "Yes," enter the amount of any tax incurred under section 4912			
<b>c</b> If "Yes," enter the amount of any tax incurred by organization managers under section 4912			
<b>d</b> If the filing organization incurred a section 4912 tax, did it file Form 4720 for this year?			

**Part III-A Complete if the organization is exempt under section 501(c)(4), section 501(c)(5), or section 501(c)(6).**

	Yes	No
<b>1</b> Were substantially all (90% or more) dues received nondeductible by members?	1	
<b>2</b> Did the organization make only in-house lobbying expenditures of \$2,000 or less?	2	
<b>3</b> Did the organization agree to carry over lobbying and political expenditures from the prior year?	3	

**Part III-B Complete if the organization is exempt under section 501(c)(4), section 501(c)(5), or section 501(c)(6) and if either (a) BOTH Part III-A, lines 1 and 2, are answered "No," OR (b) Part III-A, line 3, is answered "Yes."**

<b>1</b> Dues, assessments and similar amounts from members	1	
<b>2</b> Section 162(e) nondeductible lobbying and political expenditures (do not include amounts of political expenses for which the section 527(f) tax was paid).		
<b>a</b> Current year	2a	
<b>b</b> Carryover from last year	2b	
<b>c</b> Total	2c	
<b>3</b> Aggregate amount reported in section 6033(e)(1)(A) notices of nondeductible section 162(e) dues	3	
<b>4</b> If notices were sent and the amount on line 2c exceeds the amount on line 3, what portion of the excess does the organization agree to carryover to the reasonable estimate of nondeductible lobbying and political expenditure next year?	4	
<b>5</b> Taxable amount of lobbying and political expenditures (see instructions)	5	

**Part IV Supplemental Information**

Provide the descriptions required for Part I-A, line 1; Part I-B, line 4; Part I-C, line 5; Part II-A (affiliated group list); Part II-A, lines 1 and 2 (see instructions); and Part II-B, line 1. Also, complete this part for any additional information.

**PART II-B, LINE 1, LOBBYING ACTIVITIES:**

WHEN DIRECTLY RELATED TO ITS MISSION, HUMANE SOCIETY SILICON VALLEY HAS OCCASIONALLY PUBLICLY ENDORSED PROPOSED LOCAL , STATE AND FEDERAL ANIMAL-RELATED LEGISLATION. DURING THE YEAR ENDED JUNE 30, 2016, THE ORGANIZATION: PAID \$550 TO STATE HUMANE ASSOCIATION OF CALIFORNIA FOR 2016 MEMBERSHIP DUES WHICH SUPPORTS THE LOBBY OF HUMANE ANIMAL LAWS IN

**Part IV** Supplemental Information *(continued)*

CALIFORNIA; AND SENT A LETTER TO THE PRESIDENT AND BOARD MEMBERS OF A LOCAL RODEO, URGING THEM TO BAN THE WILD COW MILKING CONTEST AND THE MUTTON BUSTIN EVENT FROM THEIR RODEOS SINCE THEY ARE NOT SANCTIONED RODEO EVENTS AND MAY CAUSE STRESS AND HARM TO ANIMALS AND SAFETY HAZARD TO PEOPLE.

THE TIME AND EFFORT SPENT ON THIS LOBBYING ACTIVITY WAS VERY LIMITED COMPARED TO OTHER PROGRAM SERVICE ACTIVITIES.

SCHEDULE D (Form 990)

Department of the Treasury Internal Revenue Service

Supplemental Financial Statements

Complete if the organization answered "Yes" on Form 990, Part IV, line 6, 7, 8, 9, 10, 11a, 11b, 11c, 11d, 11e, 11f, 12a, or 12b. Attach to Form 990.

Information about Schedule D (Form 990) and its instructions is at www.irs.gov/form990.

OMB No. 1545-0047

2015 Open to Public Inspection

Name of the organization HUMANE SOCIETY SILICON VALLEY Employer identification number 94-1196215

Part I Organizations Maintaining Donor Advised Funds or Other Similar Funds or Accounts. Complete if the organization answered "Yes" on Form 990, Part IV, line 6.

Table with 3 columns: Question, (a) Donor advised funds, (b) Funds and other accounts. Rows include total number at end of year, aggregate value of contributions, grants, and end of year, and two yes/no questions about donor property and grant fund usage.

Part II Conservation Easements. Complete if the organization answered "Yes" on Form 990, Part IV, line 7.

Table with 3 columns: Question, (a) Donor advised funds, (b) Funds and other accounts. Rows include purpose(s) of conservation easements, a table for lines 2a-2d (Total number, acreage, certified historic structures, acquired after 8/17/06), and questions about monitoring, expenses, and reporting requirements.

Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets. Complete if the organization answered "Yes" on Form 990, Part IV, line 8.

Table with 3 columns: Question, (a) Donor advised funds, (b) Funds and other accounts. Rows include questions about reporting works of art, historical treasures, or other similar assets held for public exhibition, education, or research.

**Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets** (continued)

3 Using the organization's acquisition, accession, and other records, check any of the following that are a significant use of its collection items (check all that apply):

- a  Public exhibition
- b  Scholarly research
- c  Preservation for future generations
- d  Loan or exchange programs
- e  Other \_\_\_\_\_

4 Provide a description of the organization's collections and explain how they further the organization's exempt purpose in Part XIII.

5 During the year, did the organization solicit or receive donations of art, historical treasures, or other similar assets to be sold to raise funds rather than to be maintained as part of the organization's collection?  Yes  No

**Part IV Escrow and Custodial Arrangements.** Complete if the organization answered "Yes" on Form 990, Part IV, line 9, or reported an amount on Form 990, Part X, line 21.

1a Is the organization an agent, trustee, custodian or other intermediary for contributions or other assets not included on Form 990, Part X?  Yes  No

b If "Yes," explain the arrangement in Part XIII and complete the following table:

	Amount
c Beginning balance	1c
d Additions during the year	1d
e Distributions during the year	1e
f Ending balance	1f

2a Did the organization include an amount on Form 990, Part X, line 21, for escrow or custodial account liability?  Yes  No

b If "Yes," explain the arrangement in Part XIII. Check here if the explanation has been provided on Part XIII

**Part V Endowment Funds.** Complete if the organization answered "Yes" on Form 990, Part IV, line 10.

	(a) Current year	(b) Prior year	(c) Two years back	(d) Three years back	(e) Four years back
1a Beginning of year balance	3,277,959.	3,458,714.	3,223,096.	2,975,350.	2,562,140.
b Contributions				46,556.	629,000.
c Net investment earnings, gains, and losses	-67,716.	23,170.	423,780.	221,190.	-33,031.
d Grants or scholarships					
e Other expenditures for facilities and programs		203,925.	188,162.	20,000.	161,000.
f Administrative expenses					21,759.
g End of year balance	3,210,243.	3,277,959.	3,458,714.	3,223,096.	2,975,350.

2 Provide the estimated percentage of the current year end balance (line 1g, column (a)) held as:

- a Board designated or quasi-endowment  \_\_\_\_\_ %
- b Permanent endowment  92.86 %
- c Temporarily restricted endowment  7.14 %

The percentages on lines 2a, 2b, and 2c should equal 100%.

3a Are there endowment funds not in the possession of the organization that are held and administered for the organization by:

- (i) unrelated organizations
- (ii) related organizations

	Yes	No
3a(i)		X
3a(ii)		X
3b		

b If "Yes" on line 3a(ii), are the related organizations listed as required on Schedule R?

4 Describe in Part XIII the intended uses of the organization's endowment funds.

**Part VI Land, Buildings, and Equipment.**

Complete if the organization answered "Yes" on Form 990, Part IV, line 11a. See Form 990, Part X, line 10.

Description of property	(a) Cost or other basis (investment)	(b) Cost or other basis (other)	(c) Accumulated depreciation	(d) Book value
1a Land		5,146,351.		5,146,351.
b Buildings		16,381,031.	2,344,784.	14,036,247.
c Leasehold improvements		2,615,308.	1,364,708.	1,250,600.
d Equipment		1,235,325.	934,164.	301,161.
e Other		168,663.	141,481.	27,182.
<b>Total.</b> Add lines 1a through 1e. (Column (d) must equal Form 990, Part X, column (B), line 10c.)				20,761,541.



**Part VII Investments - Other Securities.**

Complete if the organization answered "Yes" on Form 990, Part IV, line 11b. See Form 990, Part X, line 12.

(a) Description of security or category (including name of security)	(b) Book value	(c) Method of valuation: Cost or end-of-year market value
(1) Financial derivatives .....		
(2) Closely-held equity interests .....		
(3) Other		
(A) <b>FIXED INCOME SECURITIES</b>	4,169,523.	END-OF-YEAR MARKET VALUE
(B) <b>EQUITY MUTUAL FUNDS</b>	8,688,584.	END-OF-YEAR MARKET VALUE
(C) <b>ALTERNATIVE INVESTMENTS</b>	891,779.	END-OF-YEAR MARKET VALUE
(D) <b>CERTIFICATES OF DEPOSIT</b>	5,063,086.	END-OF-YEAR MARKET VALUE
(E)		
(F)		
(G)		
(H)		
<b>Total.</b> (Col. (b) must equal Form 990, Part X, col. (B) line 12.) ▶	18,812,972.	

**Part VIII Investments - Program Related.**

Complete if the organization answered "Yes" on Form 990, Part IV, line 11c. See Form 990, Part X, line 13.

(a) Description of investment	(b) Book value	(c) Method of valuation: Cost or end-of-year market value
(1)		
(2)		
(3)		
(4)		
(5)		
(6)		
(7)		
(8)		
(9)		
<b>Total.</b> (Col. (b) must equal Form 990, Part X, col. (B) line 13.) ▶		

**Part IX Other Assets.**

Complete if the organization answered "Yes" on Form 990, Part IV, line 11d. See Form 990, Part X, line 15.

(a) Description	(b) Book value
(1)	
(2)	
(3)	
(4)	
(5)	
(6)	
(7)	
(8)	
(9)	
<b>Total.</b> (Column (b) must equal Form 990, Part X, col. (B) line 15.) ▶	

**Part X Other Liabilities.**

Complete if the organization answered "Yes" on Form 990, Part IV, line 11e or 11f. See Form 990, Part X, line 25.

1. (a) Description of liability	(b) Book value
(1) Federal income taxes	
(2)	
(3)	
(4)	
(5)	
(6)	
(7)	
(8)	
(9)	
<b>Total.</b> (Column (b) must equal Form 990, Part X, col. (B) line 25.) ▶	

2. Liability for uncertain tax positions. In Part XIII, provide the text of the footnote to the organization's financial statements that reports the organization's liability for uncertain tax positions under FIN 48 (ASC 740). Check here if the text of the footnote has been provided in Part XIII

**Part XI Reconciliation of Revenue per Audited Financial Statements With Revenue per Return.**

Complete if the organization answered "Yes" on Form 990, Part IV, line 12a.

1	Total revenue, gains, and other support per audited financial statements		1	11,610,657.
2	Amounts included on line 1 but not on Form 990, Part VIII, line 12:			
a	Net unrealized gains (losses) on investments	2a	-374,964.	
b	Donated services and use of facilities	2b	53,439.	
c	Recoveries of prior year grants	2c		
d	Other (Describe in Part XIII.)	2d		
e	Add lines 2a through 2d	2e	-321,525.	
3	Subtract line 2e from line 1		3	11,932,182.
4	Amounts included on Form 990, Part VIII, line 12, but not on line 1:			
a	Investment expenses not included on Form 990, Part VIII, line 7b	4a		
b	Other (Describe in Part XIII.)	4b		
c	Add lines 4a and 4b	4c		0.
5	Total revenue. Add lines 3 and 4c. (This must equal Form 990, Part I, line 12.)		5	11,932,182.

**Part XII Reconciliation of Expenses per Audited Financial Statements With Expenses per Return.**

Complete if the organization answered "Yes" on Form 990, Part IV, line 12a.

1	Total expenses and losses per audited financial statements		1	10,734,525.
2	Amounts included on line 1 but not on Form 990, Part IX, line 25:			
a	Donated services and use of facilities	2a	53,439.	
b	Prior year adjustments	2b		
c	Other losses	2c		
d	Other (Describe in Part XIII.)	2d		
e	Add lines 2a through 2d	2e	53,439.	
3	Subtract line 2e from line 1		3	10,681,086.
4	Amounts included on Form 990, Part IX, line 25, but not on line 1:			
a	Investment expenses not included on Form 990, Part VIII, line 7b	4a		
b	Other (Describe in Part XIII.)	4b		
c	Add lines 4a and 4b	4c		0.
5	Total expenses. Add lines 3 and 4c. (This must equal Form 990, Part I, line 18.)		5	10,681,086.

**Part XIII Supplemental Information.**

Provide the descriptions required for Part II, lines 3, 5, and 9; Part III, lines 1a and 4; Part IV, lines 1b and 2b; Part V, line 4; Part X, line 2; Part XI, lines 2d and 4b; and Part XII, lines 2d and 4b. Also complete this part to provide any additional information.

**PART V, LINE 4:**

THE APPROPRIATED EXPENDITURES FROM THE EARNINGS ON THE ENDOWMENT FUNDS ARE INTENDED TO BE USED FOR ALL OF THE ORGANIZATION'S PROGRAMS WHICH FALL UNDER ITS EXEMPT PURPOSE.

**PART X, LINE 2:**

GENERALLY ACCEPTED ACCOUNTING PRINCIPLES PROVIDE ACCOUNTING AND DISCLOSURE GUIDANCE ABOUT POSITIONS TAKEN BY AN ORGANIZATION IN ITS TAX RETURNS THAT MIGHT BE UNCERTAIN. MANAGEMENT HAS CONSIDERED ITS TAX POSITIONS AND BELIEVES THAT ALL OF THE POSITIONS TAKEN BY THE ORGANIZATION IN ITS FEDERAL AND STATE EXEMPT ORGANIZATION TAX RETURNS ARE MORE LIKELY THAN NOT TO BE SUSTAINED UPON EXAMINATION.

**Part XIII** Supplemental Information *(continued)*

THE ORGANIZATION FILES INFORMATION RETURNS IN THE U.S. FEDERAL JURISDICTION AND STATE OF CALIFORNIA. THE ORGANIZATION'S FEDERAL RETURNS FOR THE YEARS ENDED JUNE 30, 2013 AND BEYOND REMAIN SUBJECT TO POSSIBLE EXAMINATION BY THE INTERNAL REVENUE SERVICE. THE ORGANIZATION'S CALIFORNIA RETURNS OF THE TAX YEARS ENDED JUNE 30, 2012 AND BEYOND REMAIN SUBJECT TO POSSIBLE EXAMINATION BY THE FRANCHISE TAX BOARD.

**SCHEDULE G**  
**(Form 990 or 990-EZ)**

Department of the Treasury  
Internal Revenue Service

**Supplemental Information Regarding Fundraising or Gaming Activities**

Complete if the organization answered "Yes" on Form 990, Part IV, lines 17, 18, or 19, or if the organization entered more than \$15,000 on Form 990-EZ, line 6a.

▶ Attach to Form 990 or Form 990-EZ.

▶ Information about Schedule G (Form 990 or 990-EZ) and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).

OMB No. 1545-0047

**2015**

Open to Public Inspection

Name of the organization

**HUMANE SOCIETY SILICON VALLEY**

Employer identification number

**94-1196215**

**Part I**

**Fundraising Activities.** Complete if the organization answered "Yes" on Form 990, Part IV, line 17. Form 990-EZ filers are not required to complete this part.

1 Indicate whether the organization raised funds through any of the following activities. Check all that apply.

- a  Mail solicitations
- b  Internet and email solicitations
- c  Phone solicitations
- d  In-person solicitations
- e  Solicitation of non-government grants
- f  Solicitation of government grants
- g  Special fundraising events

2 a Did the organization have a written or oral agreement with any individual (including officers, directors, trustees or key employees listed in Form 990, Part VII) or entity in connection with professional fundraising services?  Yes  No

b If "Yes," list the ten highest paid individuals or entities (fundraisers) pursuant to agreements under which the fundraiser is to be compensated at least \$5,000 by the organization.

(i) Name and address of individual or entity (fundraiser)	(ii) Activity	(iii) Did fundraiser have custody or control of contributions?		(iv) Gross receipts from activity	(v) Amount paid to (or retained by) fundraiser listed in col. (i)	(vi) Amount paid to (or retained by) organization
		Yes	No			
GRIZZARD COMMUNICATIONS GROUP, INC - 229 PEACHTREE	DIRECT MAIL FUNDRAISING CAMPAIGNS		X	740,533.	95,269.	645,264.
GRIZZARD COMMUNICATIONS GROUP, INC - 229 PEACHTREE	NEWSLETTER AND CALENDAR		X	228,077.	10,776.	217,301.
HANSA TRADING INTERNATIONAL INC. DBA AUCTION CITY - 3536	VEHICLE DONATION SERVICES	X		120,625.	27,689.	92,936.
GATEWAY COMMUNICATIONS, INC. - 16805 NE MASON COURT,	TELEMARKETING FUNDRAISING CAMPAIGNS		X	5,329.	3,274.	2,055.
CHARLES WILLIAM SIZEMORE - 2718 GASPAR CT., PALO ALTO,	FUNDRAISING COUNSEL		X	0.	12,000.	0.
<b>Total</b>				1,094,564.	149,008.	957,556.

3 List all states in which the organization is registered or licensed to solicit contributions or has been notified it is exempt from registration or licensing.

CA

**Part II Fundraising Events.** Complete if the organization answered "Yes" on Form 990, Part IV, line 18, or reported more than \$15,000 of fundraising event contributions and gross income on Form 990-EZ, lines 1 and 6b. List events with gross receipts greater than \$5,000.

		(a) Event #1	(b) Event #2	(c) Other events	(d) Total events (add col. (a) through col. (c))
		FURBALL (event type)	WALK & WAG (event type)	NONE (total number)	
Revenue	1	Gross receipts	1,422,056.	338,497.	1,760,553.
	2	Less: Contributions	900,639.	280,642.	1,181,281.
	3	Gross income (line 1 minus line 2)	521,417.	57,855.	579,272.
Direct Expenses	4	Cash prizes			
	5	Noncash prizes			
	6	Rent/facility costs			
	7	Food and beverages			
	8	Entertainment			
	9	Other direct expenses	521,417.	57,855.	579,272.
	10	Direct expense summary. Add lines 4 through 9 in column (d)			579,272.
11	Net income summary. Subtract line 10 from line 3, column (d)			0.	

**Part III Gaming.** Complete if the organization answered "Yes" on Form 990, Part IV, line 19, or reported more than \$15,000 on Form 990-EZ, line 6a.

		(a) Bingo	(b) Pull tabs/instant bingo/progressive bingo	(c) Other gaming	(d) Total gaming (add col. (a) through col. (c))
		1	Gross revenue		
Direct Expenses	2	Cash prizes			
	3	Noncash prizes			
	4	Rent/facility costs			
	5	Other direct expenses			
	6	Volunteer labor	<input type="checkbox"/> Yes _____ % <input type="checkbox"/> No	<input type="checkbox"/> Yes _____ % <input type="checkbox"/> No	<input type="checkbox"/> Yes _____ % <input type="checkbox"/> No
7	Direct expense summary. Add lines 2 through 5 in column (d)				
8	Net gaming income summary. Subtract line 7 from line 1, column (d)				

9 Enter the state(s) in which the organization conducts gaming activities: \_\_\_\_\_

a Is the organization licensed to conduct gaming activities in each of these states?  Yes  No

b If "No," explain: \_\_\_\_\_

10a Were any of the organization's gaming licenses revoked, suspended or terminated during the tax year?  Yes  No

b If "Yes," explain: \_\_\_\_\_

- 11 Does the organization conduct gaming activities with nonmembers?  Yes  No
- 12 Is the organization a grantor, beneficiary or trustee of a trust or a member of a partnership or other entity formed to administer charitable gaming?  Yes  No
- 13 Indicate the percentage of gaming activity conducted in:
 

a The organization's facility	<b>13a</b>	%
b An outside facility	<b>13b</b>	%
- 14 Enter the name and address of the person who prepares the organization's gaming/special events books and records:

Name ▶ \_\_\_\_\_

Address ▶ \_\_\_\_\_

- 15a Does the organization have a contract with a third party from whom the organization receives gaming revenue?  Yes  No
- b If "Yes," enter the amount of gaming revenue received by the organization ▶ \$ \_\_\_\_\_ and the amount of gaming revenue retained by the third party ▶ \$ \_\_\_\_\_.
- c If "Yes," enter name and address of the third party:

Name ▶ \_\_\_\_\_

Address ▶ \_\_\_\_\_

16 Gaming manager information:

Name ▶ \_\_\_\_\_

Gaming manager compensation ▶ \$ \_\_\_\_\_

Description of services provided ▶ \_\_\_\_\_

- Director/officer
- Employee
- Independent contractor

17 Mandatory distributions:

- a Is the organization required under state law to make charitable distributions from the gaming proceeds to retain the state gaming license?  Yes  No
- b Enter the amount of distributions required under state law to be distributed to other exempt organizations or spent in the organization's own exempt activities during the tax year ▶ \$ \_\_\_\_\_

**Part IV** Supplemental Information. Provide the explanations required by Part I, line 2b, columns (iii) and (v); and Part III, lines 9, 9b, 10b, 15b, 15c, 16, and 17b, as applicable. Also provide any additional information (see instructions).

**SCHEDULE G, PART I, LINE 2B, LIST OF TEN HIGHEST PAID FUNDRAISERS:**

(I) NAME OF FUNDRAISER: GRIZZARD COMMUNICATIONS GROUP, INC

(I) ADDRESS OF FUNDRAISER: 229 PEACHTREE ST. NE #1400, ATLANTA, GA 30303

(I) NAME OF FUNDRAISER: GRIZZARD COMMUNICATIONS GROUP, INC

(I) ADDRESS OF FUNDRAISER: 229 PEACHTREE ST. NE #1400, ATLANTA, GA 30303

(I) NAME OF FUNDRAISER: HANSA TRADING INTERNATIONAL INC. DBA AUCTION CITY

**Part IV** Supplemental Information (continued)

(I) ADDRESS OF FUNDRAISER: 3536 HAVEN AVE., REDWOOD CITY, CA 94063

(I) NAME OF FUNDRAISER: GATEWAY COMMUNICATIONS, INC.

(I) ADDRESS OF FUNDRAISER: 16805 NE MASON COURT, PORTLAND, OR 97230

(I) NAME OF FUNDRAISER: CHARLES WILLIAM SIZEMORE

(I) ADDRESS OF FUNDRAISER: 2718 GASPAR CT., PALO ALTO, CA 94306

PART I, LINE 2B, COLUMN (V):

DURING THE YEAR, THE ORGANIZATION CONDUCTED FUNDRAISING CAMPAIGNS THAT INCLUDED REQUESTS FOR CONTRIBUTIONS AS WELL AS PROGRAM COMPONENTS. THE FEES REPORTED UNDER PART I LINE 2B COLUMN (V) FOR GRIZZARD COMMUNICATIONS GROUP, INC. REPRESENTED ONLY THE PORTION THAT IS ALLOCATED TO FUNDRAISING ACTIVITIES. FEES ALLOCATED TO PROGRAM SERVICES WERE NOT INCLUDED.

**SCHEDULE J  
(Form 990)**

**Compensation Information**

OMB No. 1545-0047

For certain Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

**2015**

▶ Complete if the organization answered "Yes" on Form 990, Part IV, line 23.

▶ Attach to Form 990.

Open to Public Inspection

Department of the Treasury  
Internal Revenue Service

▶ Information about Schedule J (Form 990) and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).

Name of the organization

**HUMANE SOCIETY SILICON VALLEY**

Employer identification number

**94-1196215**

**Part I Questions Regarding Compensation**

**1a** Check the appropriate box(es) if the organization provided any of the following to or for a person listed on Form 990, Part VII, Section A, line 1a. Complete Part III to provide any relevant information regarding these items.

- |  |   |
|--|---|
| <input type="checkbox"/> First-class or charter travel             | <input type="checkbox"/> Housing allowance or residence for personal use          |
| <input type="checkbox"/> Travel for companions                     | <input type="checkbox"/> Payments for business use of personal residence          |
| <input type="checkbox"/> Tax indemnification and gross-up payments | <input checked="" type="checkbox"/> Health or social club dues or initiation fees |
| <input type="checkbox"/> Discretionary spending account            | <input type="checkbox"/> Personal services (e.g., maid, chauffeur, chef)          |

- b** If any of the boxes on line 1a are checked, did the organization follow a written policy regarding payment or reimbursement or provision of all of the expenses described above? If "No," complete Part III to explain .....
- 2** Did the organization require substantiation prior to reimbursing or allowing expenses incurred by all directors, trustees, and officers, including the CEO/Executive Director, regarding the items checked in line 1a? .....

**3** Indicate which, if any, of the following the filing organization used to establish the compensation of the organization's CEO/Executive Director. Check all that apply. Do not check any boxes for methods used by a related organization to establish compensation of the CEO/Executive Director, but explain in Part III.

- |   |   |
|---|---|
| <input type="checkbox"/> Compensation committee                     | <input type="checkbox"/> Written employment contract                                |
| <input type="checkbox"/> Independent compensation consultant        | <input checked="" type="checkbox"/> Compensation survey or study                    |
| <input checked="" type="checkbox"/> Form 990 of other organizations | <input checked="" type="checkbox"/> Approval by the board or compensation committee |

**4** During the year, did any person listed on Form 990, Part VII, Section A, line 1a, with respect to the filing organization or a related organization:

- a** Receive a severance payment or change-of-control payment? .....
- b** Participate in, or receive payment from, a supplemental nonqualified retirement plan? .....
- c** Participate in, or receive payment from, an equity-based compensation arrangement? .....
- If "Yes" to any of lines 4a-c, list the persons and provide the applicable amounts for each item in Part III.

**Only section 501(c)(3), 501(c)(4), and 501(c)(29) organizations must complete lines 5-9.**

**5** For persons listed on Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the revenues of:

- a** The organization? .....
- b** Any related organization? .....
- If "Yes" to line 5a or 5b, describe in Part III.

**6** For persons listed on Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the net earnings of:

- a** The organization? .....
- b** Any related organization? .....
- If "Yes" on line 6a or 6b, describe in Part III.

**7** For persons listed on Form 990, Part VII, Section A, line 1a, did the organization provide any non-fixed payments not described on lines 5 and 6? If "Yes," describe in Part III .....

**8** Were any amounts reported on Form 990, Part VII, paid or accrued pursuant to a contract that was subject to the initial contract exception described in Regulations section 53.4958-4(a)(3)? If "Yes," describe in Part III .....

**9** If "Yes" to line 8, did the organization also follow the rebuttable presumption procedure described in Regulations section 53.4958-6(c)? .....

	Yes	No
<b>1b</b>	X	
<b>2</b>	X	
<b>4a</b>		X
<b>4b</b>		X
<b>4c</b>		X
<b>5a</b>		X
<b>5b</b>		X
<b>6a</b>		X
<b>6b</b>		X
<b>7</b>		X
<b>8</b>		X
<b>9</b>		

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule J (Form 990) 2015



**Part II Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees.** Use duplicate copies if additional space is needed.

For each individual whose compensation must be reported on Schedule J, report compensation from the organization on row (i) and from related organizations, described in the instructions, on row (ii). Do not list any individuals that are not listed on Form 990, Part VII.

**Note:** The sum of columns (B)(i)-(iii) for each listed individual must equal the total amount of Form 990, Part VII, Section A, line 1a, applicable column (D) and (E) amounts for that individual.

(A) Name and Title		(B) Breakdown of W-2 and/or 1099-MISC compensation			(C) Retirement and other deferred compensation	(D) Nontaxable benefits	(E) Total of columns (B)(i)-(D)	(F) Compensation in column (B) reported as deferred on prior Form 990
		(i) Base compensation	(ii) Bonus & incentive compensation	(iii) Other reportable compensation				
(1) CAROL NOVELLO PRESIDENT	(i)	223,843.	0.	0.	3,988.	7,560.	235,391.	0.
	(ii)	0.	0.	0.	0.	0.	0.	0.
(2) CANDICE BALMACEDA VP OF FINANCE	(i)	147,648.	0.	0.	2,881.	7,561.	158,090.	0.
	(ii)	0.	0.	0.	0.	0.	0.	0.
(3) CRISTIE KAMIYA CHIEF OF SHELTER MEDICINE	(i)	170,927.	0.	0.	3,145.	7,560.	181,632.	0.
	(ii)	0.	0.	0.	0.	0.	0.	0.
	(i)							
	(ii)							
	(i)							
	(ii)							
	(i)							
	(ii)							
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	(i)							
	(ii)							
	(i)							
	(ii)							
	(i)							
	(ii)							

**Part III** Supplemental Information

Provide the information, explanation, or descriptions required for Part I, lines 1a, 1b, 3, 4a, 4b, 4c, 5a, 5b, 6a, 6b, 7, and 8, and for Part II. Also complete this part for any additional information.

PART I, LINE 1A:

THE ORGANIZATION OFFERS HEALTH CLUB/GYM/FITNESS PROGRAM REIMBURSEMENT AS A BENEFIT TO ALL ELIGIBLE EMPLOYEES.

ELIGIBLE EMPLOYEES MAY RECEIVE A TAXABLE FRINGE BENEFIT OF UP TO \$25 PER MONTH FOR HEALTH CLUB/GYM/FITNESS PROGRAM PARTICIPATION. ELIGIBLE EMPLOYEES MAY ALSO RECEIVE A TAXABLE FRINGE BENEFIT OF UP TO \$25 ANNUALLY FOR HEALTH CLUB/GYM/FITNESS PROGRAM REGISTRATION/ENROLLMENT FEE.

**Supplemental Information on Tax-Exempt Bonds**

▶ **Complete if the organization answered "Yes" on Form 990, Part IV, line 24a. Provide descriptions, explanations, and any additional information in Part VI.**

▶ **Attach to Form 990.** ▶ **Information about Schedule K (Form 990) and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).**

Name of the organization **HUMANE SOCIETY SILICON VALLEY** Employer identification number **94-1196215**

<b>Part I Bond Issues</b>											
<b>SEE PART VI FOR COLUMN (F) CONTINUATIONS</b>											
(a) Issuer name	(b) Issuer EIN	(c) CUSIP #	(d) Date issued	(e) Issue price	(f) Description of purpose	(g) Defeased		(h) On behalf of issuer		(i) Pooled financing	
						Yes	No	Yes	No	Yes	No
<b>A</b> CALIFORNIA ENTERPRISE DEVELOPMENT AUTHORITY	35-2273601	13067RAE3	04/30/08	16000000.	FINANCE THE CONSTRUCTION, EQU		X		X		X
<b>B</b>											
<b>C</b>											
<b>D</b>											

<b>Part II Proceeds</b>										
	A		B		C		D			
<b>1</b> Amount of bonds retired .....	3,850,000.									
<b>2</b> Amount of bonds legally defeased .....										
<b>3</b> Total proceeds of issue .....	16,000,000.									
<b>4</b> Gross proceeds in reserve funds .....										
<b>5</b> Capitalized interest from proceeds .....										
<b>6</b> Proceeds in refunding escrows .....										
<b>7</b> Issuance costs from proceeds .....	320,000.									
<b>8</b> Credit enhancement from proceeds .....	239,151.									
<b>9</b> Working capital expenditures from proceeds .....										
<b>10</b> Capital expenditures from proceeds .....	15,440,849.									
<b>11</b> Other spent proceeds .....										
<b>12</b> Other unspent proceeds .....										
<b>13</b> Year of substantial completion .....	2010									
	Yes	No	Yes	No	Yes	No	Yes	No		
<b>14</b> Were the bonds issued as part of a current refunding issue? .....		X								
<b>15</b> Were the bonds issued as part of an advance refunding issue? .....		X								
<b>16</b> Has the final allocation of proceeds been made? .....	X									
<b>17</b> Does the organization maintain adequate books and records to support the final allocation of proceeds? .....	X									

<b>Part III Private Business Use</b>										
	A		B		C		D			
	Yes	No	Yes	No	Yes	No	Yes	No		
<b>1</b> Was the organization a partner in a partnership, or a member of an LLC, which owned property financed by tax-exempt bonds? .....		X								
<b>2</b> Are there any lease arrangements that may result in private business use of bond-financed property? .....		X								

**Part III Private Business Use** (Continued)

	A		B		C		D	
	Yes	No	Yes	No	Yes	No	Yes	No
<b>3a</b> Are there any management or service contracts that may result in private business use of bond-financed property? .....		X						
<b>b</b> If "Yes" to line 3a, does the organization routinely engage bond counsel or other outside counsel to review any management or service contracts relating to the financed property?								
<b>c</b> Are there any research agreements that may result in private business use of bond-financed property?		X						
<b>d</b> If "Yes" to line 3c, does the organization routinely engage bond counsel or other outside counsel to review any research agreements relating to the financed property? .....								
<b>4</b> Enter the percentage of financed property used in a private business use by entities other than a section 501(c)(3) organization or a state or local government .....		%		%		%		%
<b>5</b> Enter the percentage of financed property used in a private business use as a result of unrelated trade or business activity carried on by your organization, another section 501(c)(3) organization, or a state or local government .....		%		%		%		%
<b>6</b> Total of lines 4 and 5 .....		%		%		%		%
<b>7</b> Does the bond issue meet the private security or payment test? .....	X							
<b>8a</b> Has there been a sale or disposition of any of the bond-financed property to a non-governmental person other than a 501(c)(3) organization since the bonds were issued?		X						
<b>b</b> If "Yes" to line 8a, enter the percentage of bond-financed property sold or disposed of .....		%		%		%		%
<b>c</b> If "Yes" to line 8a, was any remedial action taken pursuant to Regulations sections 1.141-12 and 1.145-2? .....								
<b>9</b> Has the organization established written procedures to ensure that all nonqualified bonds of the issue are remediated in accordance with the requirements under Regulations sections 1.141-12 and 1.145-2? .....	X							

**Part IV Arbitrage**

	A		B		C		D	
	Yes	No	Yes	No	Yes	No	Yes	No
<b>1</b> Has the issuer filed Form 8038-T, Arbitrage Rebate, Yield Reduction and Penalty in Lieu of Arbitrage Rebate? .....		X						
<b>2</b> If "No" to line 1, did the following apply? .....								
<b>a</b> Rebate not due yet? .....		X						
<b>b</b> Exception to rebate? .....	X							
<b>c</b> No rebate due? .....		X						
If "Yes" to line 2c, provide in Part VI the date the rebate computation was performed .....								
<b>3</b> Is the bond issue a variable rate issue? .....	X							
<b>4a</b> Has the organization or the governmental issuer entered into a qualified hedge with respect to the bond issue? .....		X						
<b>b</b> Name of provider .....								
<b>c</b> Term of hedge .....								
<b>d</b> Was the hedge superintegrated? .....								
<b>e</b> Was the hedge terminated? .....								

**Part IV Arbitrage** (Continued)

	A		B		C		D	
	Yes	No	Yes	No	Yes	No	Yes	No
5a Were gross proceeds invested in a guaranteed investment contract (GIC)?		X						
b Name of provider								
c Term of GIC								
d Was the regulatory safe harbor for establishing the fair market value of the GIC satisfied?								
6 Were any gross proceeds invested beyond an available temporary period?		X						
7 Has the organization established written procedures to monitor the requirements of section 148?	X							

**Part V Procedures To Undertake Corrective Action**

	A		B		C		D	
	Yes	No	Yes	No	Yes	No	Yes	No
Has the organization established written procedures to ensure that violations of federal tax requirements are timely identified and corrected through the voluntary closing agreement program if self-remediation is not available under applicable regulations?	X							

**Part VI Supplemental Information.** Provide additional information for responses to questions on Schedule K (see instructions).

**SCHEDULE K, PART I, BOND ISSUES:**

(A) ISSUER NAME: CALIFORNIA ENTERPRISE DEVELOPMENT AUTHORITY

(F) DESCRIPTION OF PURPOSE:

FINANCE THE CONSTRUCTION, EQUIPPING AND FURNISHING OF THE ANIMAL COMM. CTR

**SCHEDULE M  
(Form 990)**

**Noncash Contributions**

OMB No. 1545-0047

**2015**

Open To Public Inspection

Department of the Treasury  
Internal Revenue Service

- ▶ Complete if the organizations answered "Yes" on Form 990, Part IV, lines 29 or 30.
- ▶ Attach to Form 990.
- ▶ Information about Schedule M (Form 990) and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).

Name of the organization **HUMANE SOCIETY SILICON VALLEY** Employer identification number **94-1196215**

Part I	Types of Property	(a) Check if applicable	(b) Number of contributions or items contributed	(c) Noncash contribution amounts reported on Form 990, Part VIII, line 1g	(d) Method of determining noncash contribution amounts
1	Art - Works of art				
2	Art - Historical treasures				
3	Art - Fractional interests				
4	Books and publications				
5	Clothing and household goods				
6	Cars and other vehicles	X	173	112,325.	PROC. NET OF REPAIRS
7	Boats and planes				
8	Intellectual property				
9	Securities - Publicly traded	X	19	146,940.	AVG HI & LOW AT GIFT
10	Securities - Closely held stock				
11	Securities - Partnership, LLC, or trust interests				
12	Securities - Miscellaneous				
13	Qualified conservation contribution - Historic structures				
14	Qualified conservation contribution - Other				
15	Real estate - Residential				
16	Real estate - Commercial				
17	Real estate - Other				
18	Collectibles				
19	Food inventory				
20	Drugs and medical supplies				
21	Taxidermy				
22	Historical artifacts				
23	Scientific specimens				
24	Archeological artifacts				
25	Other ▶ ( AUCTION ITEMS )	X	118	104,184.	LOWER OF FMV/AUCTION
26	Other ▶ ( ANIMAL & MEDI )	X	580	55,971.	THRIFT STORE COST
27	Other ▶ ( SPECIAL EVENT )	X	16	2,270.	COST
28	Other ▶ ( )				

29 Number of Forms 8283 received by the organization during the tax year for contributions for which the organization completed Form 8283, Part IV, Donee Acknowledgement ..... **29** **0**

	Yes	No
30a During the year, did the organization receive by contribution any property reported in Part I, lines 1 through 28, that it must hold for at least three years from the date of the initial contribution, and which is not required to be used for exempt purposes for the entire holding period? .....		X
b If "Yes," describe the arrangement in Part II.		
31 Does the organization have a gift acceptance policy that requires the review of any non-standard contributions? .....	X	
32a Does the organization hire or use third parties or related organizations to solicit, process, or sell noncash contributions? .....	X	
b If "Yes," describe in Part II.		
33 If the organization did not report an amount in column (c) for a type of property for which column (a) is checked, describe in Part II.		

**Part II Supplemental Information.** Provide the information required by Part I, lines 30b, 32b, and 33, and whether the organization is reporting in Part I, column (b), the number of contributions, the number of items received, or a combination of both. Also complete this part for any additional information.

SCHEDULE M, LINE 32B:

THE ORGANIZATION USES A THIRD PARTY, HANSA TRADING INTERNATIONAL, INC. (DBA AUCTION CITY), TO PROCESS AND SELL ALL AUTO DONATIONS. THE ORGANIZATION RECEIVES A NET CHECK FROM THE THIRD PARTY.

	AMOUNT	% OF GROSS	% OF AVAILABLE
AUCTION CITY:			
GROSS RECEIPTS (BID PRICE)	143,038		
COSTS OF REPAIR ETC.	-22,413	15.7%	
NET AVAILABLE	120,625	(112,325 NET OF PLEDGE PMT 8,300)	
FEE TO AUCTION CITY	-27,689	19.4%	23.0%
NET TO HSSV	92,936	64.9%	77.0%
		100.0%	100.0%

IN ADDITION, BUYERS ALSO PAY FEES NOT LISTED ABOVE.

**SCHEDULE O**  
**(Form 990 or 990-EZ)**

Department of the Treasury  
Internal Revenue Service

**Supplemental Information to Form 990 or 990-EZ**

Complete to provide information for responses to specific questions on  
Form 990 or 990-EZ or to provide any additional information.

▶ Attach to Form 990 or 990-EZ.

▶ Information about Schedule O (Form 990 or 990-EZ) and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).

OMB No. 1545-0047

**2015**

Open to Public  
Inspection

Name of the organization

HUMANE SOCIETY SILICON VALLEY

Employer identification number

94-1196215

FORM 990, PART III, LINE 1, DESCRIPTION OF ORGANIZATION MISSION:

THE ORGANIZATION IS A CALIFORNIA NONPROFIT PUBLIC BENEFIT CORPORATION

THAT HAS OPERATED CONTINUOUSLY SINCE 1929. THE FOCUS OF THE

ORGANIZATION IS COMPANION ANIMAL RESCUE AND HOMELESSNESS PREVENTION.

THE ORGANIZATION SERVES AS A SAFETY NET FOR COMPANION ANIMALS IN

SILICON VALLEY, SETS A NATIONAL EXAMPLE FOR INNOVATION AND SEEKS TO

TRANSFORM HUMAN LIVES THROUGH DEEPER CONNECTIONS TO ANIMALS. THE

IMPACT ACHIEVED REFLECTS THE QUALITY OF THE ORGANIZATION AND ITS

PEOPLE.

IMPACT

THE ORGANIZATION IS DRIVING SIGNIFICANT, POSITIVE CHANGE FOR BOTH

COMPANION ANIMALS AND THE PEOPLE WHO CARE FOR AND ABOUT THEM. THE

SOLUTIONS ARE ACHIEVING GROUNDBREAKING RESULTS. SPECIFICALLY, THE

ORGANIZATION:

- SAVES 100% OF ALL HEALTHY ANIMALS IN THE ORGANIZATION'S CARE AND HAS

DONE SO SINCE 2006. IN THE YEAR ENDED JUNE 30, 2016, THE ORGANIZATION

SAVED 90% OF ALL ANIMALS THAT CAME THROUGH ITS DOORS, INCLUDING MANY

NEEDING REHABILITATION OR EXTENDED TREATMENT. THIS COMPARES TO THE

NATIONAL AVERAGE OF 70% (FOR 2012, AS REPORTED ON

[HTTP://WWW.MADDIESFUND.ORG/](http://www.maddiesfund.org/)). EVEN MORE IMPORTANTLY, THESE RESULTS ARE

BEING ACHIEVED WHILE THE OVERALL COMMUNITY SAVE RATE CONTINUES TO

IMPROVE.

- PROVIDES REHABILITATION AND MEDICAL OR BEHAVIORAL TREATMENT FOR



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NEARLY 3,600 (IN CALENDAR 2015) ANIMALS EACH YEAR. THESE ANIMALS ARE JUST LIKE PETS MANY PEOPLE ALREADY HAVE IN THEIR HOMES TODAY BUT THEY DON'T YET HAVE THE LOVING GUARDIANS THEY NEED TO OVERCOME COMMON CHALLENGES. GIVEN TIME, SPACE, AND TAILORED MEDICAL AND BEHAVIORAL ATTENTION, THESE ANIMALS CAN LEAD HIGH-QUALITY LIVES FOR YEARS TO COME AND PROVIDE PROFOUND ENRICHMENT TO THEIR FUTURE TWO-LEGGED FAMILIES. CURRENTLY, APPROXIMATELY 76% OF THE ANIMALS SAVED REQUIRE THIS KIND OF CARE AND TREATMENT.

- FINDS AND HELPS KEEP - LOVING HOMES FOR NEARLY 5,600 ANIMALS EACH YEAR. THIS INCLUDES PROVIDING COUNSELING AND NO COST PET FOOD TO KEEP OVER 215 PET ANIMALS IN THEIR HOMES (EXCLUDING MANAGED COLONY CATS), RETURNING NEARLY 185 LOST ANIMALS TO THEIR HOMES, TRANSFERRING ALMOST 525 ANIMALS, INCLUDING WILD LIFE, TO RESCUE GROUPS AND MANAGED CAT COLONIES, AND FACILITATING ADOPTIONS FOR NEARLY 4,700 ANIMALS. CURRENTLY, NEARLY 72% OF THESE ADOPTED ANIMALS WILL RECEIVE FOSTER CARE OR KITTEN NURSERY CARE PRIOR TO BEING MATCHED WITH THEIR NEW FAMILIES.

- THE ORGANIZATION ALSO PROVIDES "SHOWCASE" FOSTER DAYS FOR SEVERAL ANIMAL RESCUE PARTNERS. BY PROVIDING THE USE OF THE ORGANIZATION'S FACILITIES TO THE SAME RESCUE GROUPS AT THE SAME TIME EACH WEEK, THESE GROUPS ARE ABLE TO MAINTAIN A PRESENCE WHERE POTENTIAL ADOPTERS CAN ROUTINELY FIND THEM. THIS ENABLES HUNDREDS MORE ANIMALS TO ULTIMATELY FIND HOMES EACH YEAR.

- PERFORMS AND INFLUENCES NEARLY 8,520 SPAY/NEUTER SURGERIES EACH YEAR. POTENTIALLY, THESE SURGERIES RESULT IN OVER 12,700 FEWER ANIMALS BEING BORN EACH YEAR.

OVER 1,340 OF THESE ANNUAL SURGERIES ARE A RESULT OF THE ORGANIZATION'S EFFORTS TO SECURE FUNDS FOR THE BROADER COMMUNITY. PETSMART CHARITIES AWARDED HUMANE SOCIETY SILICON VALLEY A TOTAL OF \$309,000 TO DEPLOY AND

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ADMINISTER, UTILIZING TWO OTHER LOCAL AGENCIES, A PILOT PROGRAM TO ADDRESS CHIHUAHUA OVERPOPULATION. THESE FUNDS COVERED OVER FOUR YEARS OF OPERATIONS FOR APPROXIMATELY 5,160 CHIHUAHUA AND CHIHUAHUA MIX SURGERIES. A PRIVATE DONOR AWARDED THE ORGANIZATION A TOTAL OF \$250,000 TO EXPAND THIS WORK TO INCLUDE FREE SURGERIES TO ALL BREEDS IN THE TARGETED ZIP CODES. THE NEW FUNDS SUPPORTED 765 SURGERIES IN THE YEAR ENDED JUNE 30, 2016 AND WILL SUPPORT SURGERIES FOR A YEAR AND A HALF.

- PROVIDES EDUCATION FOR 8,875 CHILDREN, FROM PRE KINDERGARTEN THROUGH 12TH GRADE. THESE EDUCATION PROGRAMS ENABLE SOCIAL AND EMOTIONAL LEARNING THROUGH INTERACTIONS WITH ANIMALS. THIS INCLUDES ECONOMICALLY DISADVANTAGED CHILDREN AND AT RISK YOUTH MANY WITH LITTLE PRIOR EXPOSURE TO ANIMALS. THE ORGANIZATION ALSO WORKS WITH LOCAL SCHOOLS TO PROVIDE CAREFULLY DESIGNED PROGRAMS TAILORED TO THEIR STUDENTS' UNIQUE NEEDS, ESPECIALLY THOSE WHO NEED SUPPORT IN BUILDING EMPATHY, RESPONSIBLE DECISION MAKING AND RELATIONSHIP SKILLS. THESE OUTCOMES DEMONSTRATE THAT HUMANE SOCIETY SILICON VALLEY IS DELIVERING ON AND ADVANCING ITS MISSION TO GROUNDBREAKING LEVELS.

INNOVATION

HUMANE SOCIETY SILICON VALLEY IS SETTING A NATIONAL EXAMPLE FOR LEADERSHIP AND INNOVATION AT THE LOCAL LEVEL. THE ORGANIZATION HAS SHATTERED THE NOTION OF WHAT IS POSSIBLE FOR THE GUARDIANSHIP OF HOMELESS ANIMALS AND HAS ESTABLISHED NEW STANDARDS FOR WHAT CAN BE ACCOMPLISHED. SPECIFICALLY, THE ORGANIZATION:

- CREATED AND LEADS AN INTER AGENCY COALITION TO SAVE THE LIVES OF COMPANION ANIMALS IN SILICON VALLEY. THE ORGANIZATION FOUNDED THIS

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COALITION TO PROVIDE A PLATFORM FOR PUBLIC ANIMAL CARE AGENCIES TO WORK TOGETHER AS A COMMUNITY TO SAVE LIVES. VISIONARY LEADERSHIP IS IGNITING THESE ORGANIZATIONS TO COLLABORATE IN ACHIEVING GOALS PREVIOUSLY THOUGHT UNATTAINABLE. IN 2004, THE COMMUNITY WIDE SAVE RATE WAS 30%; IN THE CALENDAR YEAR 2015 IT WAS APPROXIMATELY 88%.

- DEVELOPED, IN CONJUNCTION WITH PETCO AND PETSMART, A NEW OPERATING MODEL THAT DEMONSTRATES THE POWER OF NON PROFIT/FOR PROFIT PARTNERSHIP. HUMANE SOCIETY SILICON VALLEY IS THE ONLY ORGANIZATION IN THE NATION WITH MULTIPLE ADOPTION CENTERS THAT ARE PERMANENTLY CO LOCATED INSIDE PETCO AND PETSMART STORES. HUMANE SOCIETY SILICON VALLEY PROVIDES CONSISTENT, ON GOING STAFFING AND COMFORTABLE LIVING ENVIRONMENTS WHILE ANIMALS AWAIT ADOPTION. PETCO AND PETSMART PROVIDE USE OF THEIR RETAIL SPACE AND SUPPLY THE FOOD AND SUPPLIES NECESSARY TO CARE FOR THESE ANIMALS. THESE CENTERS MAKE ADOPTIONS MORE ACCESSIBLE BECAUSE THE ORGANIZATION BECOMES PART OF LOCAL NEIGHBORHOODS AND MAINTAINS HOURS CONVENIENT FOR POTENTIAL ADOPTERS. JUST OVER 2,125 OF THE ORGANIZATION'S ADOPTIONS HAPPEN THROUGH THESE PETCO AND PETSMART NEIGHBORHOOD ADOPTION CENTERS. IN ADDITION, THESE PETCO AND PETSMART STORES NO LONGER SELL RABBITS TO THEIR CUSTOMERS. THEY ARE ABLE TO RELY ON THE ORGANIZATION TO PROVIDE RESCUE RABBITS THAT THEY WOULD OTHERWISE PURCHASE THROUGH PET WHOLESALERS AND BREEDING MILLS.

- CRAFTED AND SPEARHEADED A FIRST OF ITS KIND FREE SPAY/NEUTER PROGRAM, OPERATING ACROSS MULTIPLE LOCAL AGENCIES, THAT TARGETS REVERSING THE RUNAWAY TREND OF CHIHUAHUA OVERPOPULATION. THIS PROGRAM HAS ALREADY REDUCED THE NUMBER OF INCOMING CHIHUAHUAS IN THE COUNTY. IT HAS ALSO INSPIRED ANOTHER COMMUNITY OUTSIDE THE BAY AREA TO BEGIN EFFORTS TO LAUNCH A SIMILAR VERSION OF THIS PROGRAM.

- DESIGNED ITS REGIONAL RESCUE PROGRAM IN THE SPIRIT OF COMMUNITY AND

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COLLABORATION. THE ORGANIZATION SERVES AS A SAFETY NET FOR ANIMALS THAT MOST NEED HELP IN THE COMMUNITY AND SAVES ANIMALS THAT WOULD OTHERWISE NOT HAVE RESCUE OPTIONS. THE ORGANIZATION ALSO RESCUES ANIMALS THAT HAVE BEEN MADE AVAILABLE FOR ADOPTION AT THEIR PARTNERING AGENCIES BUT ARE NOT THRIVING OR ARE BEING OVERLOOKED BY POTENTIAL ADOPTERS. IN ADDITION, THE ORGANIZATION COLLABORATES WITH LOCAL RESCUE ORGANIZATIONS TO SAVE LIVES WHEN THEY BECOME OVERBURDENED FROM SITUATIONS SUCH AS HOARDING CASES OR TAKING IN LARGE NUMBERS OF ANIMALS FROM RESEARCH FACILITIES.

- BUILT THE STATE OF THE ART, ENVIRONMENTALLY FRIENDLY ANIMAL COMMUNITY CENTER, WHICH IS ONE OF THE FIRST GOLD LEED CERTIFIED ANIMAL FACILITIES IN THE COUNTRY. THIS FACILITY SETS NEW STANDARDS FOR THE CARE OF HOMELESS ANIMALS AND THE WAY THAT PEOPLE LEARN ABOUT, ENGAGE WITH, AND CELEBRATE ANIMALS. THIS FACILITY BRINGS TOGETHER AND LEVERAGES THE POWER OF INDIVIDUALS AND GROUPS TO MAKE A DIFFERENCE IN THE LIVES OF BOTH COMPANION ANIMALS AND PEOPLE.

- COMMITTED TO FOCUSING ON COMBINING COMPASSION AND COMMITMENT TO HUMANE SOCIETY SILICON VALLEY'S MISSION (HEART) WITH PROFESSIONALISM AND COMPETENCE (HEAD). THE ORGANIZATION SEEKS TO ATTRACT AND RETAIN SUBJECT MATTER EXPERTS IN ANIMAL CARE AND EXPERIENCED LEADERS FROM THE FOR PROFIT AND NONPROFIT WORLDS WHO ARE DRAWN TO THE ORGANIZATION'S APPROACH AND PHILOSOPHY.

- IMPLEMENTED TRANSPARENCY AND ACCOUNTABILITY IN OPERATIONS AND FINANCIALS. THE ORGANIZATION'S AUDIT REPORT, 990 AND ANNUAL REPORT ARE ALL POSTED ON THE ORGANIZATION'S WEBSITE.

- LAUNCHED MUTUAL RESCUE, WHICH IS A NATIONAL INITIATIVE CREATED BY HUMANE SOCIETY SILICON VALLEY TO CHANGE THE CONVERSATION AROUND ANIMAL WELFARE FROM "PEOPLE OR ANIMALS" TO "PEOPLE AND ANIMALS." (SEE LINE 2

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FOR DETAILS)

- THE ORGANIZATION'S LEADERSHIP AND EXPERTISE ARE REVOLUTIONIZING COMPANION ANIMAL CARE IN SILICON VALLEY AND SETTING AN EXAMPLE FOR WHAT IS POSSIBLE ACROSS THE NATION. HUMANE SOCIETY SILICON VALLEY IS DEMONSTRATING ON A LOCAL LEVEL THAT INSPIRATION AND DETERMINATION CAN DRIVE COMMUNITY COLLABORATION THAT SAVES AND ENHANCES LIVES.

STRATEGIC DIRECTION

HUMANE SOCIETY SILICON VALLEY'S STRATEGIC DIRECTION IS CONTINUED COMMITMENT TO COMMUNITY COLLABORATION TO INCREASE THE NUMBER OF LIVES SAVED. COMPANION ANIMALS IN THE LOCAL COMMUNITY COME FIRST. THE AIMS ARE TO:

- ACHIEVE A SAVE RATE OF 95% OR GREATER. THIS INCLUDES CONTINUING TO SAVE 100% OF ALL HEALTHY ANIMALS THAT COME UNDER THE ORGANIZATION'S CARE. IT ALSO INCLUDES ATTAINING THE GOAL TO SAVE 100% OF ANIMALS THAT CAN BE HEALED THROUGH REHABILITATION AND MEDICAL OR BEHAVIORAL TREATMENT.

- LEAD THE COMMUNITY COALITION IN CONTINUING TO SAVE 100% OF HEALTHY ANIMALS IN SILICON VALLEY. THE ACCEPTANCE OF THE MADDIE'S FUND LIFESAVING AWARD<sup>®</sup> SIGNIFIES THE COALITION'S ONGOING COMMITMENT TO ACHIEVE THIS RESULT ANNUALLY AND FOREVER. THE ORGANIZATION ALSO WANTS TO INSPIRE THE COALITION TO REACH FOR THE SAME GOAL OF SAVING 100% OF ANIMALS THAT CAN BE HEALED THROUGH REHABILITATION AND MEDICAL OR BEHAVIORAL TREATMENT.

- BECOME THE FIRST MODEL SHELTER IN THE COUNTRY TO COMPLETE THE ASSOCIATION OF SHELTER GUIDELINES, AND CREATE AND DEPLOY THE STORY

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AROUND THE LIFE-SAVING IMPACT OF THIS INITIATIVE.

CHARITY NAVIGATOR AND GUIDESTAR ARE KEY ORGANIZATIONS THAT PROVIDE, ON THEIR WEBSITES, INFORMATION ON NONPROFIT ORGANIZATIONS. THE FOLLOWING INFORMATION PERTAINS TO RATINGS AND COMPARABILITY TO OTHER NONPROFITS.

THE ORGANIZATION'S FUNDRAISING EXPENSES, AS A PERCENTAGE OF TOTAL FUNCTIONAL EXPENSES, AND ITS FUNDRAISING EFFICIENCY (COST TO RAISE \$1) ARE HIGHER THAN SOME OTHER ANIMAL WELFARE ORGANIZATIONS DUE TO THE ADDITIONAL COSTS NECESSARY TO FUND THE COMPREHENSIVE CAMPAIGN. THE ORGANIZATION'S GOAL IS TO BECOME A NATIONAL MODEL FOR SAVING THE LIVES OF COMPANION ANIMALS THAT ARE CAPABLE OF HEALING. THE COMPREHENSIVE CAMPAIGN IS NEEDED TO SUSTAIN AND INCREASE THE ORGANIZATION'S MISSION IMPACT THROUGH PROGRESSIVE AND SCALABLE PROGRAMS. TO ACHIEVE THAT GOAL, AN INVESTMENT IN THE COMPREHENSIVE CAMPAIGN IS REQUIRED. IN ADDITION, DURING THE CAMPAIGN, ADMINISTRATIVE POSITIONS SPEND MORE TIME FUNDRAISING AND, THEREFORE, A GREATER PERCENTAGE OF THOSE SALARIES ARE ALLOCATED TOWARDS FUNDRAISING RATHER THAN ADMINISTRATIVE (MANAGEMENT AND GENERAL) EXPENSE. IF THOSE SALARIES WERE ALLOCATED TOWARDS ADMINISTRATIVE EXPENSE, THE ORGANIZATION'S FUNDRAISING EFFICIENCY RATING IN CHARITY NAVIGATOR WOULD INCREASE POSITIVELY. AT THE SAME TIME, THE ORGANIZATION'S ADMINISTRATIVE EXPENSE WOULD STILL MAINTAIN ITS EXCELLENT RATING DUE TO A VERY LOW PERCENTAGE OF TOTAL FUNCTIONAL EXPENSES SPENT ON MANAGEMENT EVEN WHEN THOSE ALLOCATED SALARIES ARE REABSORBED INTO THE ADMINISTRATIVE CATEGORY.

THE ABOVE FACTORS NEGATIVELY AFFECT THE FINANCIAL PORTION OF HUMANE SOCIETY SILICON VALLEY'S RATING ON CHARITY NAVIGATOR, A KEY NONPROFIT

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CHARITY RATING ORGANIZATION.

HOWEVER, THE ORGANIZATION'S STRENGTHS ARE ITS PROGRAM EFFICIENCY RATIO AND ITS ACCOUNTABILITY AND TRANSPARENCY RATINGS. THE ORGANIZATION'S PROGRAM EXPENSES ARE 76% OF TOTAL EXPENSES, WHICH IS CONSIDERED EXCELLENT BY CHARITY NAVIGATOR'S RATINGS METHODOLOGY. IN ADDITION, THE ORGANIZATION SCORED MAXIMUM POINTS ON THE ACCOUNTABILITY AND TRANSPARENCY FACTORS OF THE RATING.

FORM 990, PART III, LINE 2, NEW PROGRAM SERVICES:

THE ORGANIZATION LAUNCHED MUTUAL RESCUE, WHICH IS A NATIONAL INITIATIVE CREATED BY HUMANE SOCIETY SILICON VALLEY TO CHANGE THE CONVERSATION AROUND ANIMAL WELFARE FROM "PEOPLE OR ANIMALS" TO "PEOPLE AND ANIMALS."

PEOPLE ALL ACROSS THE COUNTRY HAVE STORIES TO TELL ABOUT HOW SHELTER ANIMALS HAVE CHANGED THEIR LIVES FOR THE BETTER AND MUTUAL RESCUE IS BRINGING THESE STORIES TO THE WORLD STAGE. OUR FIRST FILM, "ERIC & PEETY," WAS INSTANTLY A VIRAL INTERNET SENSATION AND HAS BEEN VIEWED MORE THAN 50 MILLION TIMES ACROSS THE GLOBE.

WE BELIEVE THAT HELPING ANIMALS HELPS PEOPLE. AND YET, OF THE \$373 BILLION IN CHARITABLE DONATIONS MADE IN THE U.S. IN 2015, LESS THAN 1% WENT TO ANIMAL-RELATED CAUSES. WE WANT TO RAISE AWARENESS THAT WHEN YOU DONATE TO A LOCAL ANIMAL SHELTER, YOU ARE HELPING TO TRANSFORM THE LIVES OF PEOPLE IN YOUR COMMUNITY FOR THE BETTER THROUGH LIFE-CHANGING, HUMAN-ANIMAL RELATIONSHIPS.

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A RECENT SURVEY REVEALED THAT 71% OF AMERICANS BELIEVE THEIR LOCAL HUMANE SOCIETY IS A BRANCH OF THE HUMANE SOCIETY OF THE UNITED STATES. THIS IS NOT THE CASE, AND ONE OF THE GOALS OF MUTUAL RESCUE IS TO HELP PEOPLE UNDERSTAND THE IMPORTANCE OF GIVING DIRECTLY TO THEIR LOCAL SHELTERS TO CREATE THE BIGGEST IMPACT IN THEIR LOCAL COMMUNITIES.

MUTUAL RESCUE EMPHASIZES BRINGING LOCAL COMMUNITIES TOGETHER TO SUPPORT BOTH ANIMALS AND HUMANS. THIS ULTIMATELY MEANS CONNECTING MILLIONS OF ANIMALS WITH MILLIONS OF PEOPLE TO CREATE THE POSITIVE TRANSFORMATION OF COMMUNITIES ALL ACROSS THE COUNTRY.

FORM 990, PART III, LINE 4A, PROGRAM SERVICE ACCOMPLISHMENTS:

PROGRAMS TO SAVE LIVES AND PLACE HOMELESS ANIMALS (CONTINUED)

IN SEPTEMBER, 2014 A THIRD NEIGHBORHOOD ADOPTION CENTER WAS OPENED AT PETSMART IN MOUNTAIN VIEW. THE NEIGHBORHOOD LOCATIONS HELP THE ORGANIZATION MAINTAIN A VITAL PRESENCE WITH THE RESIDENTS OF WEST SAN JOSE, SARATOGA, CAMPBELL, LOS GATOS, SUNNYVALE AND MOUNTAIN VIEW.

NEARLY 4,700 ANIMALS WERE PLACED THROUGH ADOPTIONS AT THE SHELTER OR AT THE NEIGHBORHOOD PETCO AND PETSMART ADOPTION CENTERS DURING THE YEAR ENDED JUNE 30, 2016.

- GOLDEN PET PROGRAM - OFFERS DISCOUNTED ADOPTION FEES TO ADOPTERS THAT ARE AGE 60 AND UP, AND ARE ADOPTING A SENIOR PET.

- ADOPTION SCHOLARSHIP PROGRAM - WAIVES THE ADOPTION FEE FOR ANIMALS THAT HAVE BEEN AT THE SHELTER FOR AN EXTENDED LENGTH OF STAY, IN ORDER TO ENCOURAGE ADOPTIONS OF THESE ANIMALS.



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- MILITARY PROGRAM - OFFERS ADOPTION FEES DISCOUNTED AT 50% FOR MILITARY VETERANS.

- RESCUE AND FOSTER CARE - WORKS TO REDUCE EUTHANASIA BY IDENTIFYING ANIMALS AT THE SHELTER AND WITHIN OUR COMMUNITY THAT NEED MORE ASSISTANCE THAN THEIR CURRENT ENVIRONMENT CAN PROVIDE. THE GOAL IS TO MATCH EACH ANIMAL WITH THE ORGANIZATION WHERE HE OR SHE WILL BE THE MOST COMFORTABLE AND HAVE THE GREATEST CHANCE OF ADOPTION. THE RESCUE AND FOSTER CARE DEPARTMENT DOES THIS BY RUNNING FOUR PROGRAMS: 1) THE FOSTER CARE PROGRAM IS DESIGNED TO ASSIST ANIMALS THAT ARE UNDERAGE OR IN NEED OF MINOR BEHAVIOR MODIFICATION, SOCIALIZATION, OR MEDICAL CARE. 2) THE KITTEN NURSERY PROVIDES ONSITE CARE AND SOCIALIZATION TO UNDERAGE KITTENS. DURING THE OFF SEASON IT IS ALSO USED TO HOUSE CATS THAT NEED EXTRA SOCIALIZATION AND SMALL ANIMALS THAT NEED A QUIET REFUGE. 3) THE RESCUE PARTNERSHIP PROGRAM CONSISTS OF A SCREENED NETWORK OF ANIMAL RESCUE ORGANIZATIONS, VETERINARIANS, AND PRIVATE SHELTERS THAT ARE UTILIZED TO FIND ALTERNATIVE PLACEMENTS FOR ANIMALS THAT NEED FURTHER ASSISTANCE OR EXPERTISE. 4) THE REGIONAL RESCUE PROGRAM IS DESIGNED TO TRANSFER ANIMALS FROM THE OVERCROWDED SHELTERS IN OUR COMMUNITY TO HUMANE SOCIETY SILICON VALLEY. IN THE YEAR ENDED JUNE 30, 2016, APPROXIMATELY 3,630 ANIMALS WERE PLACED INTO FOSTER CARE AND/OR THE KITTEN NURSERY, 525 ANIMALS WERE PLACED THROUGH THE ORGANIZATION'S RESCUE PARTNERS AND CAT COLONY VOLUNTEERS, AND APPROXIMATELY 1,920 ANIMALS WERE BROUGHT INTO HUMANE SOCIETY SILICON VALLEY FROM ITS REGIONAL RESCUE PROGRAM. WORKING TOGETHER, THE ORGANIZATION HOPES TO SECURE APPROPRIATE HOMES FOR ALL ADOPTABLE ANIMALS IN SILICON VALLEY REGARDLESS OF SPECIES, BREED, AGE, OR

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## CONDITION.

- BEHAVIOR - PERFORMS BEHAVIOR ASSESSMENTS ON ALL SHELTER GUESTS TO ASSIST WITH MATCHING INDIVIDUAL ANIMALS (THEIR NEEDS AND BEHAVIORS) WITH NEW HUMAN FAMILIES. BEHAVIOR ASSESSMENTS ARE ALSO USED TO DETERMINE WHEN BEHAVIOR MODIFICATION PROGRAMS ARE REQUIRED SO INDIVIDUALS WILL THRIVE IN THE ORGANIZATION'S CARE AND IMPROVE THEIR MANNERS IN PREPARATION FOR ADOPTION.

THE ORGANIZATION'S BEHAVIOR DEPARTMENT ALSO TRAINS AND SUPPORTS ALL OF ITS DOG, CAT AND RABBIT VOLUNTEER SOCIALIZERS. TRAINING INCLUDES OFFERING SEVERAL CLASSES FOR VOLUNTEERS TO LEARN HOW TO WORK WITH AND TRAIN THE ORGANIZATION'S SHELTER GUESTS. HUMANE SOCIETY SILICON VALLEY'S BEHAVIOR DEPARTMENT SUPPORTS ITS CUSTOMER CARE TEAM BY COUNSELING POTENTIAL ADOPTERS WHEN AN ANIMAL DISPLAYS MORE CHALLENGING BEHAVIORS; IT ALSO OFFERS POST ADOPTION AND PRE SURRENDER BEHAVIORAL COUNSELING THROUGH ITS "ASK THE BEHAVIOR AND TRAINING TEAM" SERVICE. THE ORGANIZATION'S BEHAVIOR DEPARTMENT PARTICIPATES IN BEHAVIORAL SCREENINGS FOR ITS MEMBERS ONLY DOG PARK.

- HOSPITAL AND TRIAGE - PERFORMS AN INITIAL HEALTH EXAM, VACCINATIONS, MICROCHIPPING, AND IF NEEDED, LONG TERM MEDICAL CARE FOR ALL INCOMING ADOPTABLE SHELTER ANIMALS. APPROXIMATELY 34,500 VACCINATION, DEWORMING AND PARASITE TREATMENTS AND TESTS WERE GIVEN TO SHELTER ANIMALS BY THE HOSPITAL AND TRIAGE STAFF IN THE YEAR ENDED JUNE 30, 2016.

- MEDICAL CENTER CLINIC - PROVIDES AFFORDABLE MEDICAL SERVICES TO THE PUBLIC, WHICH INCLUDE: SPAY AND NEUTER SURGERIES, VACCINATIONS, DISEASE

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TESTING, HEARTWORM MEDICATION, DE WORMING, MICROCHIPPING AND OTHER MEDICAL PROCEDURES. NEARLY 6,400 PET OWNERS WERE SERVED IN THE PUBLIC MEDICAL CENTER CLINIC DURING THE YEAR ENDED JUNE 30, 2016.

APPROXIMATELY 8,310 DOGS, CATS AND RABBITS WERE SPAYED OR NEUTERED ONSITE OR IN THE MOBILE CLINIC, INCLUDING FERAL CATS, AND SHELTER ANIMALS.

APPROXIMATELY 5,700 MICROCHIPS WERE ADMINISTERED TO ADOPTED PUBLIC CLIENT ANIMALS, AND OVER 15,700 VACCINATIONS AND TESTS WERE ADMINISTERED TO PUBLIC CLIENT ANIMALS, INCLUDING HOMELESS CATS. THE MEDICAL CENTER CLINIC PARTICIPATES IN THE COUNTY AND DONOR FUNDED TNR (TRAP NEUTER RETURN) PROGRAM, WHICH OFFERS FREE SURGERIES, MICROCHIPS AND VACCINES FOR HOMELESS CATS, AND OFFERS FREE OR MINIMAL COST PITBULL SPAY AND NEUTER SURGERIES FOR COUNTY RESIDENTS. THE MEDICAL CENTER ALSO PARTICIPATES IN A TARGETED CHIHUAHUA PROJECT, WHICH OFFERS FREE SURGERIES AND RABIES VACCINES TO RESIDENTS IN CERTAIN ZIP CODES.

- HOMELESS CATS - PROVIDES COMMUNITY AWARENESS ABOUT THE PLIGHT OF 125,000 HOMELESS CATS IN SANTA CLARA COUNTY. THE ORGANIZATION PARTNERED WITH COMMUNITY VOLUNTEER GROUPS AND OTHER SHELTERS TO ADDRESS THIS ISSUE. THE ORGANIZATION PROVIDES LOW COST TRAP NEUTER AND RELEASE (TNR) SERVICES FOR SANTA CLARA COUNTY RESIDENTS THROUGH THE MEDICAL CENTER CLINIC. THIS PROGRAM IS FUNDED BY DONOR GRANTS, THE COUNTY OF SANTA CLARA AND THE CITY OF SUNNYVALE. NEARLY 2,000 HOMELESS CATS WERE SPAYED OR NEUTERED AS PART OF THIS PROGRAM AND IN PARTNERSHIP WITH PENINSULA FIX OUR FERALS. THE FERAL CATS WERE ALSO GIVEN FREE MICROCHIPS, PARASITE TREATMENTS AND VACCINES. THE ORGANIZATION HAS PRODUCED A DVD CALLED "CATS WITHOUT A HOME" WHICH HAS AIRED NUMEROUS TIMES ON LOCAL TELEVISION TO RAISE AWARENESS OF THE HOMELESS CAT ISSUE IN THE

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COMMUNITY. THE ORGANIZATION ALSO HOLDS TRAINING CLASSES TO EDUCATE  
COMMUNITY MEMBERS ON HOW TO PARTICIPATE IN THE TNR PROGRAM TO HELP  
HOMELESS CATS.

- FREE CHIHUAHUA SPAY AND NEUTER SURGERIES - IN AN EFFORT TO DECREASE  
THE NUMBERS OF UNWANTED CHIHUAHUA AND CHIHUAHUA MIX DOGS IN THE  
ORGANIZATION'S SHELTERS, MEMBERS OF THE PUBLIC THAT LIVE IN FIVE  
TARGETED ZIP CODES IN SANTA CLARA COUNTY CAN RECEIVE FREE SPAY AND  
NEUTER SURGERIES, FREE RABIES VACCINES, AND DISCOUNTED OTHER SERVICES.  
THESE SERVICES ARE SUBSIDIZED BY PETSMART CHARITIES. THIS PROGRAM IS  
FUNDING AN INCREMENTAL 5,160 CHIHUAHUA AND CHIHUAHUA MIX SURGERIES OVER  
FOUR YEARS, AT TWO AGENCIES IN THE COMMUNITY.

- FREE SURGERIES FOR ALL BREEDS IN TARGETED ZIP CODES - A PRIVATE DONOR  
AWARDED THE ORGANIZATION A TOTAL OF \$250,000 TO EXPAND THIS WORK TO  
INCLUDE FREE SURGERIES TO ALL BREEDS IN THE TARGETED ZIP CODES. THE NEW  
FUNDS SUPPORTED 765 SURGERIES IN THE YEAR ENDED JUNE 30, 2016 AND WILL  
SUPPORT FREE SURGERIES FOR A YEAR AND A HALF.

- PREVENT UNWANTED PREGNANCIES "PUP" - IN AN EFFORT TO DECREASE THE  
NUMBERS OF UNWANTED DOGS AND CATS IN OUR COMMUNITY, MEMBERS OF THE  
PUBLIC CAN SURRENDER HEALTHY LITTERS OF PUPPIES AND KITTENS UP TO 4  
MONTHS OF AGE WITH NO SURRENDER FEE. THE ORGANIZATION WILL SPAY OR  
NEUTER THE MOTHER AND FATHER AT NO CHARGE FOR THE OWNERS. ALL OF THE  
SURRENDERED PUPPIES AND KITTENS RECEIVE NEEDED VETERINARY CARE AND ARE  
SPAYED OR NEUTERED PRIOR TO ADOPTION. ADDITIONALLY, IF THE SURRENDERING  
OWNER STATES THAT THEY HAVE ALREADY FOUND HOMES FOR SOME OF THE LITTER,  
HUMANE SOCIETY SILICON VALLEY WILL SPAY OR NEUTER THOSE INDIVIDUALS AT

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NO CHARGE AS WELL, PRIOR TO THEM GOING INTO THEIR NEW HOMES. AS PART OF THIS PROGRAM, THE ORGANIZATION SPAYED OR NEUTERED OVER 100 DOGS, CATS, PUPPIES AND KITTENS DURING THE YEAR ENDED JUNE 30, 2016.

- ANIMAL CARE - FURNISHES FOOD, EXERCISE, TRAINING, CARE AND SUPPORT FOR ANIMALS AWAITING ADOPTION.

- SHELTER SERVICES - PROVIDES AN OPEN DOOR SHELTER FOR INCOMING ANIMALS 24 HOURS PER DAY, 365 DAYS PER YEAR INCLUDING STRAY ANIMALS BROUGHT IN UNDER CONTRACT WITH THE CITY OF SUNNYVALE. OFFERS PET SURRENDER SERVICES TO THE PUBLIC BY APPOINTMENT AS WELL AS PET RETENTION AND REHOMING COUNSELING.

- ANIMAL BEHAVIOR COUNSELING - COUNSELS POST-ADOPTION AND PRE-SURRENDER CUSTOMERS EXPERIENCING BEHAVIORAL CHALLENGES WITH THEIR COMPANIONS. SUPPORT IS PROVIDED VIA EMAIL, TELEPHONE, AND OCCASIONALLY IN PERSON. THE ORGANIZATION'S TEAM EDUCATES CUSTOMERS ON NORMAL AND ABNORMAL BEHAVIORS, AS WELL AS BEHAVIOR MANAGEMENT AND TRAINING OPTIONS. DURING THE YEAR ENDED JUNE 30, 2016, THE ORGANIZATION'S BEHAVIOR AND TRAINING TEAM RESPONDED TO NEARLY 75 INQUIRIES VIA THIS SERVICE. ON AVERAGE, NEARLY 25 MINUTES OF INDIVIDUALIZED COUNSELING IS PROVIDED BY THE ORGANIZATION'S ASK THE BEHAVIOR AND TRAINING TEAM SERVICE. HSSV IS NOW ALSO STARTING TO TRACK PRE-ADOPTION SUPPORT (I.E. SUPPORT THAT WE ARE GIVING TO THE FOSTER HOMES). SO 9 OF THESE INQUIRIES WERE CONCERNS THAT WERE ESCALATED TO THE BEHAVIOR TEAM, BY THE FOSTER DEPARTMENT. THE BEHAVIOR TEAM IS DOING MORE, TO TRY AND PROVIDE PRE ADOPTION SUPPORT WHILE THE ANIMAL IS IN-CARE. THEY HAVE BEEN WORKING MORE WITH FOSTER FAMILIES AND EVEN PROVIDING TRAINING

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(BOTH ONE ON ONE, AND IN GROUP CLASSES) WHILE THE DOG IS IN ARE IN FOSTER.

- PET PANTRY - OFFERS DOG AND CAT FOOD AND OTHER SUPPLIES AT NO CHARGE TO COMMUNITY MEMBERS WHO CANNOT AFFORD TO FEED THEIR PETS OR HOMELESS CAT COLONIES. FREQUENTLY, THESE ANIMALS ARE GIVEN UP SIMPLY BECAUSE THE GUARDIAN HAS LOST HIS/HER JOB AND CAN NO LONGER PROVIDE THE FOOD THAT THE ANIMAL NEEDS. THE ORGANIZATION IS COMMITTED TO KEEPING ANIMALS IN THEIR HOMES. THROUGH THIS PROGRAM, THE ORGANIZATION HAS DISTRIBUTED APPROXIMATELY 31,250 POUNDS OF DRY DOG OR CAT FOOD AND 9,800 CANS OF WET DOG OR CAT FOOD TO A TOTAL OF 91 HOUSEHOLDS, 35 HOMELESS CAT COLONIES, AND 3 RESCUE GROUPS DURING THE YEAR ENDED JUNE 30, 2016.

- LOST AND FOUND - FURNISHES PROACTIVE SERVICES FOR PEOPLE WHO HAVE EITHER LOST A BELOVED PET OR FOUND AN ANIMAL INCLUDING MAINTAINING A LOST/FOUND DATABASE, LOST PET RECOVERY COUNSELING AND A "CAR TAGGING" SERVICE FOR OWNERS TO HELP INCREASE VISIBILITY OF THEIR LOST PET. THERE WERE 223 LOST REPORTS FILED THROUGH INTAKE IN FY16.

- WILDLIFE - ACCOMMODATES INJURED WILDLIFE BROUGHT INTO THE SHELTER BEFORE THEY ARE TRANSFERRED TO THE WILDLIFE CENTER OF SILICON VALLEY FOR REHABILITATION AND EVENTUAL RELEASE.

FORM 990, PART III, LINE 4B, PROGRAM SERVICE ACCOMPLISHMENTS:

COMMUNITY PROGRAMS FOR PEOPLE & ANIMALS (CONTINUED):

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IN ADDITION, HUMANE SOCIETY SILICON VALLEY'S CORPORATE VOLUNTEER PROGRAM PROVIDES SILICON VALLEY EMPLOYEES WITH A TEAMBUILDING EXPERIENCE THAT MAKES A DIFFERENCE IN THE LIVES OF HOMELESS ANIMALS.

- EDUCATION - OFFERS A VARIETY OF PROGRAMS FOR CHILDREN AND TEENS INCLUDING KIND KIDS FIELD TRIPS FOR ELEMENTARY SCHOOL STUDENTS IN TITLE I SCHOOLS; A COMPASSION IN ACTION AND ANIMAL STARZ VOLUNTEERING PROGRAM FOR PRE-TEENS AND TEENS; PAWS FOR PARENTS, AN AFTER SCHOOL PROGRAM FOR ELEMENTARY SCHOOLS CHILDREN ; ANIMAL CARE WORKSHOPS, AN INTERACTIVE WORKSHOP FOR GRADES 1-10 EMPHASIZING COMMUNITY ACTIVISM; SHELTER TOURS, FOR CHILDREN AND FAMILIES; SUMMER CAMP CLASSES FOR CAMPER GRADES 3-8; GIRL SCOUTS BRONZE AWARD AND SILVER AWARD WORKSHOPS; AND BIRTHDAY PARTIES, A FUN, EDUCATIONAL EXPERIENCE ANYONE AGED 7 AND UP. FOR THE YEAR ENDED JUNE 30, 2016, 8,875 PRE-K THROUGH HIGH SCHOOL STUDENTS ATTENDED THESE EDUCATIONAL PROGRAMS, WITH AN AVERAGE OF 32 PROGRAMS SCHEDULED PER MONTH.

- PET STORE - OFFERS PRODUCTS AND SERVICES DESIGNED TO SUPPORT THE HUMAN ANIMAL BOND. THIS INCLUDES OFFERING PRODUCTS AND EDUCATING PET GUARDIANS IN NUTRITION, BASIC BEHAVIOR AND TRAINING TOOLS, DEVELOPMENTAL TOYS, GROOMING, SUPPLEMENTS AND OTHER PRODUCTS THAT REINFORCE A HOLISTIC APPROACH TO HEALTH CARE. THE PET STORE ALSO OFFERS HIGH QUALITY SNACKS AND BOTTLED BEVERAGES, AS A CONVENIENCE TO ANIMAL COMMUNITY CENTER VISITORS.

- MEMBERS ONLY DOG PARK - PROVIDES A FUN AND HEALTHY OFF LEASH PLAY ENVIRONMENT FOR DOGS AND THEIR PEOPLE. SCREENING FOR FRIENDLY, SOCIAL

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BEHAVIOR TOWARD PEOPLE AND OTHER DOGS OCCURS. MEDICAL RECORDS ARE REVIEWED FOR CURRENT VACCINATIONS AND OTHER PREVENTATIVE HEALTH MEASURES. AT JUNE 30, 2016, THE ORGANIZATION'S MEMBERSHIP INCLUDED 232 DOGGIE MEMBERS.

- DOG TRAINING - PROVIDES OBEDIENCE TRAINING THAT FOCUSES ON DEVELOPING THE HUMAN CANINE BOND WITH SPECIAL ATTENTION ON NEW ADOPTERS. PROMOTES A POSITIVE, FORCE FREE PHILOSOPHY TO DOG TRAINING AND GUARDIANSHIP. THROUGH CLASSES, SEMINARS AND WORKSHOPS HUMANE SOCIETY SILICON VALLEY EDUCATES THE PUBLIC ON ANIMAL BEHAVIOR, HOW ANIMALS LEARN, AND WAYS TO MANAGE NORMAL BUT UNDESIRABLE BEHAVIORS. THE CLASSES, SEMINARS AND WORKSHOPS ARE OFFERED ONSITE AT THE ANIMAL COMMUNITY CENTER. DURING THE YEAR ENDED JUNE 30, 2016, THE ORGANIZATION'S TRAINING PROGRAMS EDUCATED OVER 644 CANINES AND THEIR PEOPLE, INCLUDING APPROXIMATELY 23 SHELTER DOGS ON SCHOLARSHIP.

- TRISH KING'S ACADEMY OF DOG BEHAVIOR - AN INTENSIVE, TRAIN-THE-TRAINER ACADEMY FOR THOSE WHO ARE INTERESTED IN BECOMING PROFESSIONALS IN THE DOG WORLD OR JUST WANT TO LEARN MORE ABOUT DOGS. TOPICS INCLUDE DOG HANDLING, EVALUATING, LEARNING THEORY, TRAINING TECHNIQUES, AND SOLVING BEHAVIOR PROBLEMS. THE TRAINING PROGRAM IS LED BY BEHAVIOR EXPERT TRISH KING. TRISH, A CERTIFIED PROFESSIONAL DOG TRAINER AND CERTIFIED DOG BEHAVIOR CONSULTANT, WAS THE DIRECTOR OF BEHAVIOR AND TRAINING AT THE MARIN HUMANE SOCIETY FOR 23YEARS, IS A PAST BOARD MEMBER AND CHARTER MEMBER OF THE ASSOCIATION OF PET DOG TRAINERS, IS A MEMBER OF THE AMERICAN HUMANE ASSOCIATION TASK FORCE FOR HUMANE DOG TRAINING, AND IS THE AUTHOR OF PARENTING YOUR DOG. DURING THE YEAR ENDED JUNE 30, 2016, THE ACADEMY OF DOG BEHAVIOR SERVED 148



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STUDENTS VIA 2 FOUNDATIONAL CLASSES AND 6 ADVANCED COURSES.

- GROOMING - SERVICES ARE AVAILABLE TO THE PUBLIC AND ALSO GIVE SHELTER ANIMALS, WHO MAY NOT OTHERWISE HAVE A CHANCE TO PUT THEIR BEST "PAW" FORWARD, AN OPPORTUNITY TO LOOK AND FEEL THEIR BEST, THUS ENABLING A QUICK PLACEMENT IN A FOREVER HOME. 63 SHELTER DOGS WERE GROOMED IN THE YEAR ENDED JUNE 30, 2016.

- GRIEF COUNSELING - OFFERS SUPPORT GROUP FACILITATED BY A LICENSED MARRIAGE AND FAMILY PRACTITIONER FOR PEOPLE WHO HAVE SUFFERED A LOSS OF A COMPANION ANIMAL.

- END OF LIFE SERVICES - PROVIDES ENDOFLIFE AND CARE OF BODY SERVICES FOR PETS OWNED BY MEMBERS OF THE PUBLIC.

FORM 990, PART VI, SECTION B, LINE 11:

THE 990 WAS PROVIDED TO THE BOARD MEMBERS FOR THEIR REVIEW PRIOR TO FILING.

FORM 990, PART VI, SECTION B, LINE 12C:

ANNUALLY, THE BOARD MEMBERS, OFFICERS AND KEY EMPLOYEES ARE ASKED TO COMPLETE AND SIGN A "CONFLICT OF INTEREST POLICY & REASONABLE EFFORTS" QUESTIONNAIRE. THERE IS A CONFLICT OF INTEREST POLICY, WHISTLEBLOWER POLICY AND CODE OF ETHICS AND CONDUCT POLICY INCLUDED IN THE EMPLOYEE HANDBOOK. HUMAN RESOURCES POINTS THEM OUT AS KEY POLICIES IN THE EMPLOYEE HANDBOOK DURING ORIENTATION WITH ALL NEW EMPLOYEES. IN THE EVENT OF A CONFLICT, PROCEDURES FOR ADDRESSING THE CONFLICT OF INTEREST ARE SPECIFIED IN THE ORGANIZATION'S POLICY. THEY INCLUDE DISCUSSION AMONG THE EXECUTIVE TEAM

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AND IF NEEDED, PRESENTATION TO THE BOARD OF DIRECTORS, DISCUSSION BY THE BOARD, INVESTIGATION OF ALTERNATIVES, OTHER DUE DILIGENCE, AND SPECIFIC RULES FOR MAKING A DECISION ON A COURSE OF ACTION.

FORM 990, PART VI, SECTION B, LINE 15:

WHEN COMPENSATION IS MODIFIED, USUALLY ANNUALLY, THE BOARD OF DIRECTORS CONDUCTS A STUDY OF CHIEF EXECUTIVE/PRESIDENT AND CHIEF FINANCIAL EMPLOYEE COMPENSATION OF OTHER SIMILAR ORGANIZATIONS MEETING THE FOLLOWING CRITERIA:

1. EACH OF THE ORGANIZATIONS LISTED IS IN THE ANIMAL WELFARE INDUSTRY AND OF SIMILAR SIZE AND RESPONSIBILITY FOR THE PRESIDENT AND CHIEF FINANCIAL EMPLOYEE OF THE ORGANIZATION;

2. EACH OF THE ORGANIZATIONS IS OF LONG STANDING WITHIN THEIR COMMUNITIES;

3. EACH IS IN CALIFORNIA IN LARGE METROPOLITAN COMMUNITIES WHERE THE COST OF LIVING IS SIMILAR TO HSSV'S COMMUNITY; AND

4. ALL OF THE ORGANIZATIONS IN CALIFORNIA THAT MEET CRITERIAS 1, 2 AND 3 ARE INCLUDED.

TO HELP ASSURE QUALITY AND INDEPENDENCE, THE STUDY IS PREPARED BY STAFF AND REVIEWED AND APPROVED BY THE TREASURER AND BOARD CHAIR, PRIOR TO PRESENTATION TO THE ENTIRE BOARD OF DIRECTORS.

FORM 990, PART VI, SECTION C, LINE 19:

HSSV LISTS GOVERNING DOCUMENTS AND POLICIES ON THE WEBSITE AND PROVIDES A FORM FOR ANYONE TO REQUEST A COPY. AUDIT REPORTS, ANNUAL REPORTS, AND



2015 DEPRECIATION AND AMORTIZATION REPORT

FORM 990 PAGE 10

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Asset No.	Description	Date Acquired	Method	Life	Conv	Line No.	Unadjusted Cost Or Basis	Bus % Excl	Section 179 Expense	* Reduction In Basis	Basis For Depreciation	Beginning Accumulated Depreciation	Current Sec 179 Expense	Current Year Deduction	Ending Accumulated Depreciation
	BUILDINGS														
19	BUILDING	04/30/09		50.00		HY16	16381031.				16381031.	2,017,163.		327,621.	2,344,784.
	* 990 PAGE 10 TOTAL BUILDINGS						16381031.				16381031.	2,017,163.		327,621.	2,344,784.
	MACHINERY & EQUIPMENT														
13	MACHINERY & EQUIPMENT	VARIOUS		.000		HY16	1,122,083.				1,122,083.	744,220.		93,082.	837,302.
	* 990 PAGE 10 TOTAL MACHINERY & EQUIPMENT						1,122,083.				1,122,083.	744,220.		93,082.	837,302.
	TRANSPORTATION EQUIPMENT														
16	AUTOMOBILES	VARIOUS		.000		HY16	113,242.				113,242.	93,038.		3,824.	96,862.
	* 990 PAGE 10 TOTAL TRANSPORTATION EQUIPMENT						113,242.				113,242.	93,038.		3,824.	96,862.
	LAND														
1	LAND	04/30/09		.000		HY16	5,146,351.				5,146,351.			0.	
	* 990 PAGE 10 TOTAL LAND						5,146,351.				5,146,351.	0.		0.	0.
	OTHER														
15	COMPUTERS & SOFTWARE	VARIOUS		.000		HY16	168,663.				168,663.	135,327.		6,154.	141,481.
20	LAND IMPROVEMENTS	VARIOUS		.000		HY16	2,615,308.				2,615,308.	1,172,399.		192,309.	1,364,708.
	* 990 PAGE 10 TOTAL OTHER						2,783,971.				2,783,971.	1,307,726.		198,463.	1,506,189.
	* GRAND TOTAL 990 PAGE 10 DEPR						25546678.				25546678.	4,162,147.		622,990.	4,785,137.

# Application for Extension of Time To File an Exempt Organization Return

Department of the Treasury  
Internal Revenue Service

▶ **File a separate application for each return.**  
▶ **Information about Form 8868 and its instructions is at** [www.irs.gov/form8868](http://www.irs.gov/form8868) .

- If you are filing for an **Automatic 3-Month Extension, complete only Part I** and check this box  **X**
- If you are filing for an **Additional (Not Automatic) 3-Month Extension, complete only Part II** (on page 2 of this form).

*Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868.*

**Electronic filing (e-file)** . You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit [www.irs.gov/efile](http://www.irs.gov/efile) and click on *e-file for Charities & Nonprofits*.

**Part I Automatic 3-Month Extension of Time.** Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete

Part I only

*All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns.*

	Enter filer's identifying number	
<b>Type or print</b>	Name of exempt organization or other filer, see instructions. <b>HUMANE SOCIETY SILICON VALLEY</b>	Employer identification number (EIN) or <b>94-1196215</b>
File by the due date for filing your return. See instructions.	Number, street, and room or suite no. If a P.O. box, see instructions. <b>901 AMES AVENUE</b>	Social security number (SSN)
	City, town or post office, state, and ZIP code. For a foreign address, see instructions. <b>MILPITAS, CA 95035</b>	

Enter the Return code for the return that this application is for (file a separate application for each return)

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720 (other than individual)	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

**LAUREN GALLAGHER**

- The books are in the care of ▶ **901 AMES AVENUE - MILPITAS, CA 95035**  
Telephone No. ▶ **(408) 262-2133** Fax No. ▶ **(408) 262-2131**
- If the organization does not have an office or place of business in the United States, check this box
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) \_\_\_\_\_. If this is for the whole group, check this box . If it is for part of the group, check this box  and attach a list with the names and EINs of all members the extension is for.

**1** I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until **FEBRUARY 15, 2017**, to file the exempt organization return for the organization named above. The extension is for the organization's return for:  
 ▶  calendar year \_\_\_\_\_ or  
 ▶  tax year beginning **JUL 1, 2015**, and ending **JUN 30, 2016**.

**2** If the tax year entered in line 1 is for less than 12 months, check reason:  Initial return  Final return  
 Change in accounting period

<b>3a</b> If this application is for Forms 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	<b>3a</b>	\$	0.
<b>b</b> If this application is for Forms 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.	<b>3b</b>	\$	0.
<b>c Balance due.</b> Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	<b>3c</b>	\$	0.

**Caution.** If you are going to make an electronic funds withdrawal (direct debit) with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.